



Animal Care Annual Report of Activities

Fiscal Year 2007



United States Department of Agriculture
Animal and Plant Health Inspection Service
APHIS 41-35-075

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The following report covers animal welfare and horse protection activities during fiscal year (FY) 2007 [October 1, 2006, through September 30, 2007].

Issued September 2008

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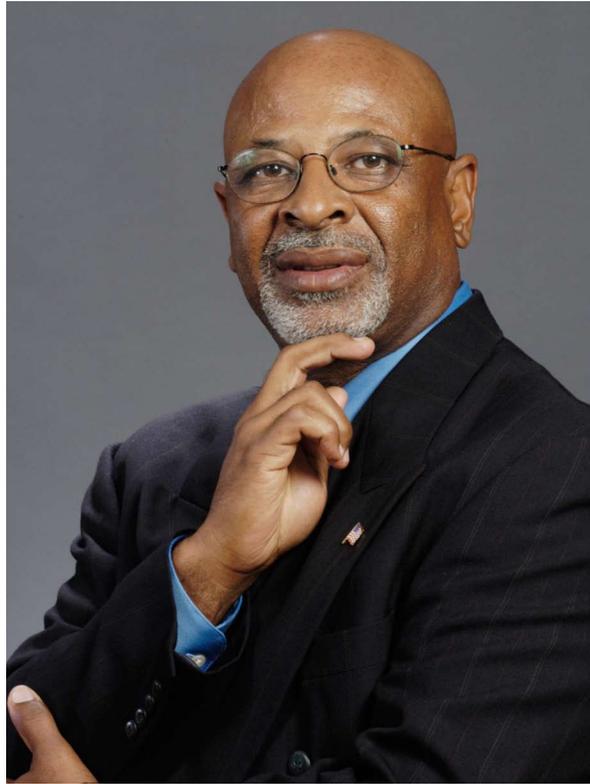
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Letter from Dr. Chester Gipson

At the U.S. Department of Agriculture (USDA), we believe that it is important to share as much information as possible regarding the Animal Care program's enforcement of the Animal Welfare Act (AWA) and the Horse Protection Act (HPA). As Deputy Administrator of Animal Care (AC), a program within USDA's Animal and Plant Health Inspection Service (APHIS), I am always looking for ways to disseminate information about the laws, their regulations, and the myriad other activities in which AC employees participate.

One way that we've distributed such information in the past is through the release of Animal Welfare and Horse Protection annual reports. We released our last annual report in fiscal year (FY) 2001. For FY 2007, we have re-implemented the use of the annual report as a way of informing the public about AC, its activities, and the administration of the AWA and HPA.

Since our last report, Animal Care has undergone many changes. To name just a few, we consolidated our regional offices from three to two and have been designated the lead program on household pet evacuation and sheltering in the United States. What remains unchanged, however, is that we have continued to use our resources effectively to ensure the proper enforcement of both laws under our purview. In addition, we have continued to retain dedicated and talented staff who work hard to make sure regulated animals receive the protection afforded to them by the law.



We very much appreciate your interest in—and support of—the Animal Care program and its mission to enforce the Animal Welfare and Horse Protection Acts. We hope that you find the information in this document useful.

Sincerely,

Dr. Chester A. Gipson
Deputy Administrator
Animal Care

The Animal Welfare Act: A Legislative and Regulatory History

The Law

In 1966, Congress enacted Public Law (P.L.) 89-544, known as the Laboratory Animal Welfare Act. This law regulated dealers who handle dogs and cats, as well as laboratories that use dogs, cats, hamsters, guinea pigs, rabbits, or nonhuman primates in research.

The first amendment to the Laboratory Animal Welfare Act was passed in 1970 (P.L. 91-579). This amendment changed the name of the law to the Animal Welfare Act (AWA) and authorized the Secretary of Agriculture to regulate other warm-blooded animals when used in research, exhibition, or the wholesale pet trade.

An amendment in 1976 (P.L. 94-279) prohibited most animal fighting ventures and regulated the commercial transportation of regulated animals. In 1985, the Improved Standards for Laboratory Animals Act—part of the Food Security Act (P.L. 91-198)—added another amendment to the AWA. These amendments required the Secretary of Agriculture to issue additional standards for the use of animals in research.

The Food, Agriculture, Conservation and Trade Act of 1990 (P.L. 101-624) added provisions to the AWA concerning injunctive relief and pet protection. The injunctive relief provision authorizes the Secretary to seek an injunction to stop certain licensed entities from continuing to violate the AWA while charges are pending. (Injunctions are generally used in cases involving stolen animals and in cases where an animal's health is in serious danger or may become endangered.) The pet protection provision mandated that the Secretary issue additional regulations pertaining to random-source dogs and cats (i.e., “dogs and cats obtained from animal pounds or shelters, auction sales, or from any person who did not breed and raise them on his or her premises”).



In April 2000, Congress enacted the Wendell H. Ford Aviation Investment and Reform Act (P.L. 106-181, also known as the Federal Aviation Administration [FAA] reauthorization bill), requiring air carriers to report incidents involving animals. Primarily affecting the U.S. Department of Transportation, this law requires carriers to submit monthly reports to the Secretary of Transportation regarding any incidents involving the loss, injury, or death of an animal (as defined by the Secretary of Transportation). The Departments of Transportation and Agriculture entered into a Memorandum of Understanding that allows USDA-APHIS to receive this information as well. The law also calls for data related to these incidents to be published, similar to FAA's practice for other consumer complaints and incident data. The law also requires the training of air carrier employees concerning the air transport of animals and notification of passengers of the conditions under which the animals are traveling.

The Regulations

Through APHIS' Animal Care (AC) program, USDA is charged with developing and implementing regulations to support the AWA. These regulations, which appear in Title 9, *Code of Federal Regulations* (CFR), Chapter 1, Subchapter A, Parts 1–3, require the licensing of animal dealers, exhibitors, and operators of animal auction sales where animals regulated under the AWA are sold.

Licenses are valid unless the licensee terminates the license voluntarily or fails to renew it, APHIS denies the renewal of the license without challenge, or an administrative law judge suspends, denies renewal, or revokes the license in an enforcement proceeding. Licensing fees for dealers and exhibitors are determined by a graduated schedule listed in the regulations at 9 CFR 2.6(5)(c). Dealers pay between \$30 and \$750 per year, and exhibitors pay from \$30 to \$300 per year. These fees are deposited as miscellaneous receipts in the U.S. Treasury and are not added to the AC budget.

The regulations also require all carriers, intermediate handlers, and all non-Federal research facilities using animals to register with the Secretary of Agriculture. There is no charge to register. A list of licensees and registrants for each State, the District of Columbia, Guam, Puerto Rico, and the U.S. Virgin Islands is kept on the AC Web site and updated periodically.

All licensees and registrants must provide their animals with care that meets or exceeds USDA's standards for veterinary care and animal husbandry. These standards include requirements for handling, housing, feeding, sanitation, ventilation, shelter from extreme weather, veterinary care, and separation of species when necessary.

Over the years, USDA has made substantive changes to the AWA regulations. In the late 1980s, USDA amended the requirements pertaining to the use of animals in research. In response to the Improved Standards for Laboratory Animals Act, these amendments established standards for the exercise of dogs and for the psychological well-being of nonhuman primates. The amendments also set standards to minimize the pain and distress of animals; ensure the proper use of anesthetics, analgesics, and tranquilizers; and, require researchers to consider alternatives to potentially painful or distressful procedures.

To ensure that these standards are met, the amendments require each registered research facility to establish an Institutional Animal Care and Use Committee (IACUC) to approve and monitor all research conducted at the institution. USDA published the final regulations for Parts 1 and 2 of Title 9 CFR, Chapter 1, Subchapter A, on August 31, 1989. Those for Part 3 were published on February 15, 1991.

USDA published revised standards for guinea pigs, hamsters, and rabbits in final form in the *Federal Register* on July 15, 1990. These standards increased the minimum space requirements for cages and provided additional requirements to protect animals being transported via common carrier.

In 1993, USDA established holding periods for animals in pounds and shelters and certification requirements to ensure that animals have been held for the duration of these periods. The regulations were published as a final rule on July 22, 1993, and became effective August 23, 1993.



In 1994, changes to the Marine Mammal Protection Act (MMPA) placed sole responsibility for regulatory oversight of “swim with the dolphin” interactive programs with APHIS. The change to the MMPA prompted AC to initiate the regulatory process to address the special needs of these programs. APHIS published a proposed rule in the *Federal Register* and, after reviewing and considering all comments received, published a final rule. However, soon after publication of the final rule, questions were raised that led APHIS to suspend enforcement of the provisions until the rule could be reanalyzed and clarifications could be proposed. Enforcement of the provisions remains suspended.

In 1998, AC published new standards pertaining to wire flooring for dogs and cats and revised the AWA temperature requirements, which included clarification of climatic conditions for housing facilities, conveyances, and holding areas at airport terminal facilities.

In 1999, AC published its final rule on perimeter fencing requirements for animals covered under the AWA, with emphasis on wild and exotic animals. In general, the rule requires a perimeter fence at least 6 feet high for most animals and 8 feet high for dangerous animals, such as elephants, bears, and large cats. All requirements are designed to better contain the animals and to keep out unwanted animals and the uninvited public. For more details, see the “Regulatory and Policy Initiatives” section of this document.

A final rule that amended the definition of “field study” became effective March 10, 2000. Prior to the rule, the term “field study” was defined in the regulations as a study “conducted on free-living wild animals in their natural habitat, which does not involve an invasive procedure, and which does not harm or materially alter the behavior of the animals under study.” Due to concern that the definition could be interpreted incorrectly to mean that a field study may include one but not both of these situations, APHIS amended the language in the regulations to specifically exclude any study that involves an invasive procedure or the potential to cause harm or materially alter the studied animal’s behavior.

In FY 2000, USDA adopted two guides that facilities can use to clarify accepted standards of care for agricultural animals used in nonagricultural research or exhibition. In June 1990, USDA began regulating horses used for biomedical or other nonagricultural research and other farm animals used for biomedical or other nonagricultural research or for nonagricultural exhibition. Previously, the standards in 9 CFR, Chapter 1, Subchapter A, Part 3, Subpart F, applied. In 1999, USDA requested and received comments on adopting as regulation two existing guides on standards as they apply to the handling, care, treatment, and transportation of animals. The guides are the “Guide for the Care and Use of Agricultural Animals in Agricultural Research and Teaching,” published by the Federation of American Societies of Food and Science, and the “Guide for the Care and Use of Laboratory Animals,” published by the Institute of Laboratory Animal Research. More information on the guides can be found in AC Policy 29, posted online at www.aphis.usda.gov/animal_welfare/downloads/policy/policy29.pdf.

In 2001, APHIS published a final rule that addressed several issues related to marine mammal exhibitors in the AWA regulations. The rule, among other things, introduced requirements for enclosures constructed so as to keep unwanted animals from entering and established new medical and feeding recordkeeping requirements for individual animals, including a requirement that facilities maintain necropsy records for 3 years and make them available to AC inspectors upon request. AC implemented the rule after establishing a Marine Mammal Negotiated Rulemaking Advisory Committee to recommend revisions to the marine mammal regulations. The Committee met for three sessions and—under the rules governing the negotiated rulemaking process, and in accordance with the organization protocols established by the Committee—APHIS agreed to publish as a proposed rule any consensus language developed during the meetings unless substantive changes were made as a result of authority exercised by another Federal Government entity. The Committee developed consensus language for changes to 13 of the 18 sections that comprise the regulations, as well as for

a single paragraph in a 14th section. The proposed rule reflected the consensus language, and was published for public comment on February 23, 1999. It was made final with some changes on January 3, 2001, and became effective on April 3, 2001.

Between FY 2002 and FY 2007, USDA developed and published several more rules, policies, and other tools to provide more consistent interpretation of the requirements. In 2003, APHIS published a final rule that further clarified AC's policy of regulating only wholesale dealers of dogs intended for hunting, breeding, or security purposes—not retail dealers of dogs for these purposes. This rule made the regulations consistent with AC's policy and clarified the licensing and inspection requirements for affected dealers. The rule also amended the definition of “dealer” to include only wholesale dealers.

In FY 2004, AC began to apply AWA regulations and standards for the humane transportation of animals in commerce to all foreign air carriers operating to or from any point within the United States, its territories, possessions, or the District of Columbia. AC made this change to ensure that any animal covered by the AWA—whether coming into, traveling from point to point in, or leaving the United States, its territories, or possessions—is provided the protection of the AWA regulations and standards. Prior to the publication of the determination to regulate foreign air carriers, AWA regulations and standards for air carriers applied only to U.S.-based companies.

In June 2004, the AWA regulations were amended to reflect an amendment to the Act's definition of the term “animal.” The Farm Security and Rural Investment Act of 2002 amended the definition of “animal” in the Act to specifically exclude birds, rats of the genus *Rattus*, and mice of the genus *Mus*, bred for use in research. While the definition of “animal” in the AWA regulations had excluded rats of the genus *Rattus* and mice of the genus *Mus* bred for use in research, that defini-

tion had also excluded all birds—i.e., not just those birds bred for use in research. To make the definition of “animal” in the AWA regulations consistent with the definition of “animal” in the AWA, this final rule amended the regulations by narrowing the scope of the exclusion for birds to only those birds bred for use in research.

APHIS published a final rule in 2004 that changed various provisions throughout the AWA regulations, including the addition of language prohibiting abuse and harassment of USDA employees by registrants (the previous language only specifically addressed licensees); the updating of penalty charges for “bounced” checks written to pay license fees; and, a requirement that licensees maintaining wild or exotic animals demonstrate adequate experience and knowledge of the species they maintain. The rule also included an expansion of the provision that requires those who have more than three breeding females on their premises be licensed with USDA to include small exotic or wild mammals such as hedgehogs and spiny mice. This also included clarification that the “three breeding females rule” applied to all animals on the premises, not to each owner on the premises—that is, each member of the family cannot own three breeding females and remain unlicensed if there are a total of more than three breeding females on the premises.



How USDA Administers the Law

Animal Care

Within USDA, APHIS' AC program is responsible for administering the AWA. AC's mission is to provide leadership in establishing acceptable standards of care and treatment and to monitor and achieve compliance through inspections, educational, and cooperative efforts.

The AC program is headquartered in Riverdale, Maryland, and has regional offices in Raleigh, North Carolina, and Fort Collins, Colorado. The regional offices are charged with administering the AWA in their respective areas.

Table 1 provides the addresses, phone numbers, and fax numbers for all AC offices, as well as AC's Web page and e-mail address for incoming correspondence.

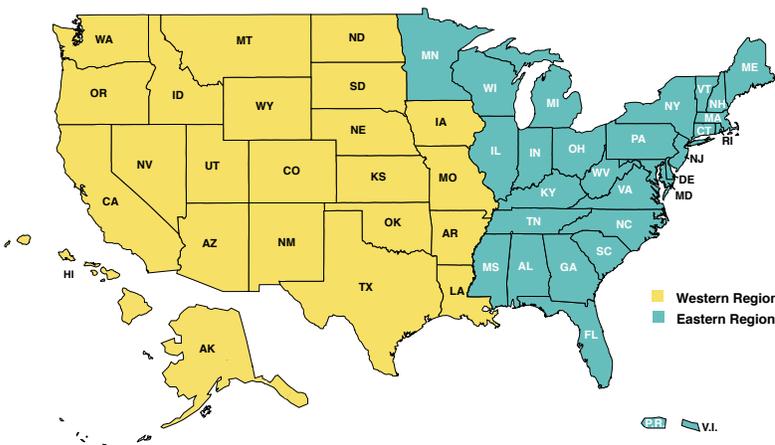
Each regional AC office employs a cadre of field veterinary medical officers and animal care inspectors. Currently, there are a total of 50 veterinary medical officers and 51 animal care inspectors with the AC program. These employees are highly qualified and have an excellent professional support system and communication network. Many also have specialized interest and expertise in such areas as the care of laboratory animals, zoo animals, or marine mammals.

In enforcing the AWA, APHIS inspectors work closely with other Federal agencies and frequently interact with regulated professional groups, industry organizations, humane groups, the scientific community, and other concerned associations or individuals. In FY 2007, AC personnel gave approximately 200 presentations at 140 industry training sessions and meetings.

Table 1: USDA–APHIS–Animal Care

<p>Headquarters Office–Riverdale 4700 River Road, Unit 84 Riverdale, MD 20737–1234 Phone: (301) 734–7833 Fax: (301) 734–4978 E-mail: ace@aphis.usda.gov</p>
<p>Eastern Region–Raleigh 920 Main Campus Drive, Suite 200 Raleigh, NC 27606 Phone: (919) 716–5532 Fax: (919) 716–5696 E-mail: aceast@aphis.usda.gov</p>
<p>Western Region–Fort Collins 2150 Centre Avenue, Building B, Mailstop #3W11 Fort Collins, CO 80526 Phone: (970) 494–7478 Fax: (970) 494–7460 E-mail: acwest@aphis.usda.gov</p>
<p>AC Web Site http://www.aphis.usda.gov/animal_welfare</p>

Animal Care



Animal Welfare Appropriations for FY 2002–2007

In FY 2007, AC received appropriations totaling more than \$15 million for activities related to animal welfare. Table 2 shows APHIS' animal welfare-related appropriations for FY 2002–2007.

Table 2: Appropriations for Animal Welfare, FY 2002–2007

FY	Annual appropriation for enforcement of the Animal Welfare Act
2007	\$17,473,000
2006	\$17,303,000
2005	\$16,485,000
2004	\$16,303,000
2003	\$16,301,000
2002	\$15,167,000

Investigative and Enforcement Services

Complementing AC's efforts is APHIS' Investigative and Enforcement Services (IES) program. IES supports all APHIS programs to enhance compliance with Agency regulations. To this end, IES conducts comprehensive investigations resulting in sound enforcement actions. IES also works closely with USDA's Office of the General Counsel (OGC), other Federal and State agencies, local governments, and industry groups. IES is headquartered in Riverdale and has regional offices in Raleigh and Fort Collins.



Inspection Highlights

AC Personnel perform two major types of inspections: pre-licensing/pre-registration inspections and unannounced compliance inspections.

To determine whether prospective licensees are in compliance with the AWA, AC personnel perform pre-licensing inspections of dealers and exhibitors prior to granting them licenses. Pre-registration inspections are not required under the Act, but many facilities request them. Whenever possible, the program honors these requests in order to promote the highest level of compliance.

AC personnel conduct unannounced compliance inspections at the facilities of all licensees and registrants to ensure that they are operating within the regulations. The AWA requires that APHIS perform at least one compliance inspection per year at each research facility that uses animals in experimentation. For other kinds of facilities, APHIS uses a risk-based system to determine inspection frequency.

AC currently employs 102 inspectors. These officials are responsible for performing the bulk of inspections.

Complaints and Searches

In addition to conducting routine inspections of licensed and registered facilities, AC personnel follow up on public complaints to determine whether regulated animals are receiving proper care. APHIS regards these activities as critical to successful enforcement of the AWA.

AC personnel also conduct periodic searches to identify any facilities that fall under the regulations of the AWA but are operating without a license or registration. In FY 2007, AC conducted more than 150 compliance inspections at unlicensed and unregistered facilities.



Risk-Based Inspection System

AC uses a risk-based inspection system to support its focused inspection strategy, allowing more frequent and in-depth inspections at problem facilities and fewer at those that are consistently in compliance. The system, initiated in 1998, uses several objective criteria, including past compliance history, to determine the inspection frequency at each licensed and registered facility. Facilities meeting the criteria for low-frequency intervals are subject to inspection once every 2 to 3 years. Facilities determined to require high-frequency inspections are subject to inspection at least once every 6 months. Those in the middle are inspected about once per year. Registered research facilities are inspected at least once per year, as required by the AWA. With this system, AC has been able to provide more in-depth inspections and improve the Agency's interactions with licensees and registrants—an approach that APHIS firmly believes makes better use of AC's inspection resources.

Class B Dealer Tracebacks Continue Success

Since FY 1993, APHIS has conducted intensive traceback efforts on dogs sold by random-source, Class B animal dealers. These dealers supply animals to the research community, typically obtaining them from pounds and shelters, pet owners who wish to relinquish ownership, and other legitimate sources. However, there is concern that some of these dealers may be trafficking in stolen animals.

Under the AWA, random-source dealers are required to maintain accurate records of the acquisition and disposition of their animals. APHIS' traceback efforts focus on ensuring that these records are accurate and complete. To optimize these efforts, APHIS conducts quarterly inspections of all random-source dealers. At least two tracebacks are conducted during every inspection, whether the animals were obtained from random sources or from original owners or breeders. The traceback process also includes asking the original source of the animal what that person was told about the animal's future disposition—e.g., whether the person was told that the animal could be used in biomedical research.

At the end of FY 2007, there were 10 Class B dealers selling dogs and cats to research facilities, down from more than 100 in the early 1990s.

After the Inspection

If AC inspectors discover conditions or records that are not in compliance with the regulations, AC typically establishes a deadline for correcting these items and provides it in the inspection report. In conjunction with IES, AC immediately investigates any situations that may have caused unnecessary animal suffering or death. Inspectors are required to reinspect any facilities where areas of noncompliance were found that have, or are likely to have, an impact on the well-being of the animals. If the conditions remain uncorrected, AC documents them for possible legal action. In cases of unrelieved suffering, AC may confiscate the animals or arrange for their placement elsewhere. With the assistance of IES, AC acted in 8 such situations in FY 2007, resulting in the confiscation/surrender and placement of approximately 220 animals.

Table 3: FY 2007 AWA Inspections¹

	Total number of regulated facilities ²	Compliance inspections	Pre-licensing/Pre-registration inspections	Attempted Inspections
Dealers	5,239	6,909	1,160	956
Exhibitors	2,490	3,626	393	440
In-Transit carriers ³	186	787	0	23
In-Transit handlers	246	222	0	26
Research facilities	1,088	1,657	1	39
Not yet licensed/registered	—	115	129	4
All facilities	9,249	13,316	1,683	1,488

¹ Inspections for compliance are unannounced inspections and re-inspections. These do not include pre-licensing or pre-registration inspections, auction market observations, or attempted inspections. Pre-licensing/pre-registration inspections are announced. Observations of licensed and unlicensed auction markets are made to locate unlicensed dealers. Attempted inspections could not be performed for certain reasons—usually because there was no one available at the facility when the inspector arrived unannounced.

² See the *Glossary of AWA Terms* for the definitions of “facility.”

³ In-transit carriers is a category representing commercial airlines. Each airline may have two or more animal transportation sites at each airport it serves. Due to frequent changes in airline activities and other factors, the number of sites may vary.

Table 4: Total Number of Inspections Performed, FY 2005–2007

FY	Number of Inspections
2007	16,487
2006	20,311
2005	18,290

Inspections by Business Type



Animal Dealers

Dealers are individuals who sell regulated animals for research or teaching, wild or exotic animals for exhibition or as pets, or domestic pet animals in wholesale channels. Dealers can hold a USDA license in one of two classes.

Class A licensees are those individuals who deal only in animals that they breed and raise. Class B licensees may breed and raise some of the animals they sell but typically buy and resell animals from other sources. Class B dealers include brokers, operators of auction sales, and bunchers—those who supply dealers with dogs, cats, and other regulated animals collected from random sources.

The number of Class A and B licensed dealers and sites for FY 2005 through 2007 are listed in Table 5. The number of inspections conducted during the same period is shown in Table 3. It should be noted that, of the 1,021 Class B dealers, APHIS estimates that only about 10 supply dogs and cats to research.

Table 5: Licensed Dealers, FY 2005–2007

FY	Total dealers	Class A dealers	Class B dealers
2007	5,239	4,218	1,021
2006	5,197	4,202	995
2005	4,500	3,625	875

Animal Exhibitors

Animal exhibitors are public or private entities that either obtain, sell, trade (or otherwise dispose of) animals in commerce and exhibit them for compensation. (Note: “Compensation” is not limited to monetary compensation.)

Exhibitors are licensed and typically operate animal acts, carnivals, circuses, public zoos, “roadside zoos,” or marine mammal displays. Many of the animals exhibited are species not native to the United States (e.g., nonhuman primates and exotic cats), but exhibited species may also include domestic farm animals and wild animals native to this country.

Listed in Table 6 are the numbers of exhibitors regulated from FY 2005 through 2007. Table 3 shows the number of inspections for the same period.

Table 6: Regulated Exhibitors, FY 2005–2007

FY	Total Exhibitors
2007	2,490
2006	2,373
2005	2,146

Carriers and Intermediate Handlers

Carriers registered with USDA include airlines, motor freight lines, railroads, and other shipping businesses. Registered intermediate handlers are ground freight handlers. Intermediate handlers usually (1) provide services for animals between consignor and carrier and from carrier to consignee, and (2) care for animals delayed in transit.

The numbers of sites of registered carriers and intermediate handlers for FY 2005 through 2007 are listed in Table 7. Table 3 shows the number of carrier and intermediate handler inspections for the same period.

Table 7: Registered Carriers and Intermediate Handlers, FY 2005–2007

FY	Registered carriers	Intermediate handlers
2007	186	246
2006	180	253
2005	156	222

Table 8: Registered Research Facilities FY 2005–2007

FY	Total Facilities
2007	1,088
2006	1,072
2005	1,024



Research Facilities

Research facilities that use animals include hospitals, colleges and universities, diagnostic laboratories, and many private firms in the pharmaceutical and biotechnology industries.

All research facilities are required to comply with the AWA's regulations. Even though Federal facilities are not registered or inspected under the AWA, they are responsible for maintaining compliance with the AWA's regulations and standards. The AWA requires that non-Federal research facilities receive at least one inspection per year to determine compliance.

Throughout 2001, AC worked on guidelines for its field personnel to use when conducting AWA inspections of research facilities. This guide, along with the dealer inspection guide, will increase the quality and uniformity of reports, inspection, and enforcement in the AC program. The guide covers such areas as how to document inspection findings and how to conduct exit interviews. The guide was distributed in FY 2001.

Table 8 lists the numbers of research facilities for FY 1999 through 2001. Table 3 shows the number of inspections of research facilities conducted during this period.



Annual Reports of Research Facilities

Each research facility registered under the AWA and each Federal research facility is required to submit a report, signed and certified by the institutional official, on their activities using covered animals in the previous fiscal year. The report lists the number and species of animals used in research, testing, and experimentation and indicates whether pain-relieving drugs were administered. If such drugs were not administered for procedures that caused pain or distress, the report must explain why their use would have interfered with the research or experiment.

The report must also assure that professionally acceptable standards, including the appropriate use of pain-relieving drugs, were followed and that each principal investigator considered alternatives to painful or distress-causing procedures.

Moreover, the report must demonstrate that the facility adhered to AWA regulations or that any exception to such adherence was justified by the principal investigator and approved by the IACUC prior to experimentation.

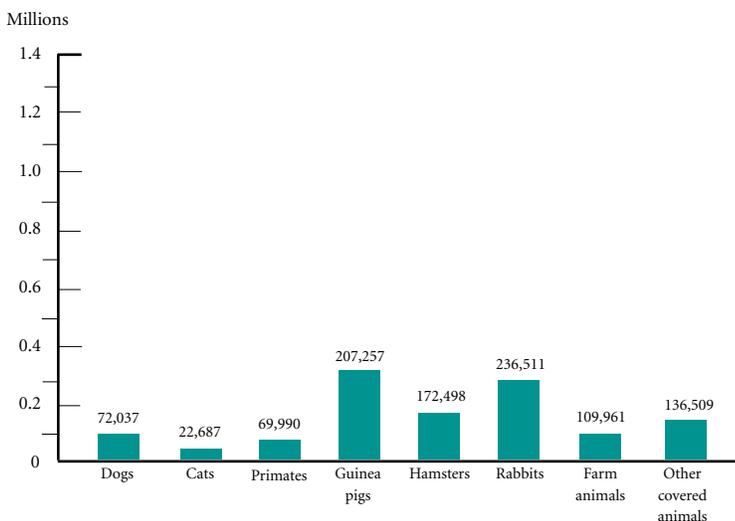
Figure 1 shows the number and species of animals used in research during FY 2007. This number excludes birds and laboratory rats and mice, as well as farm animals used exclusively in agricultural research. Figure 2 shows the number of animals used in research that involved no pain or distress, or that involved pain or distress alleviated with drugs or other means, or that involved pain or distress without relief because use of pain-relieving drugs or other means would interfere with the results of the research or testing.

Appendixes 1 through 4 contain further details. Appendix 5 reports the total number of animals used in research since this report was first published in 1973. Information from FY 2005–2006 can be found on APHIS' Web site at www.aphis.usda.gov/animal_welfare.

For FY 2007, data from 16 research facilities are not included in this report because they either did not submit a report or submitted it too late for tabulation. Of these facilities, 2 were Federal facilities, and 14 were non-Federal. It is a violation of the AWA for a facility, whether active or inactive, not to submit a timely report. AC initiated the appropriate corrective actions in these situations.

Figure 1

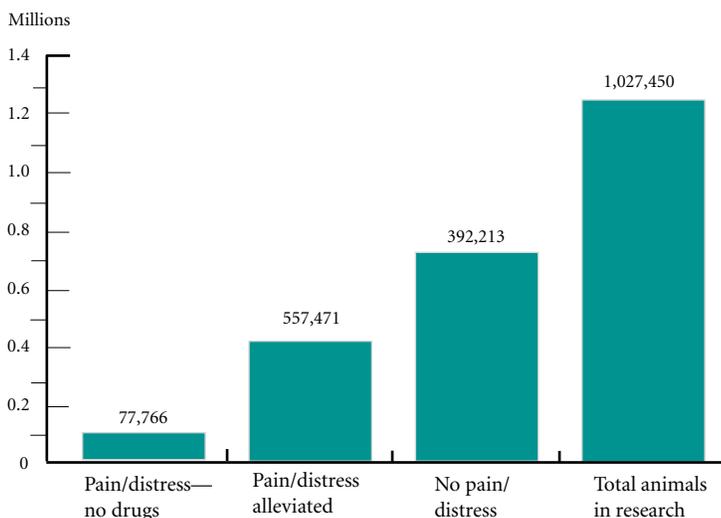
Animals Used in Research, Experiments, Testing, and Teaching, FY 2007



Numbers from Licensing and Registration Information System (LARIS) database

Figure 2

Animal Experiencing Pain/Distress, Pain/Distress Relief, or No Pain/Distress During Experiments, FY 2007



Numbers from Licensing and Registration Information System (LARIS) database

Enforcement Highlights



AC enforces the AWA primarily through the use of inspections. AC inspectors conduct unannounced visits to licensed or registered facilities, where they review all areas of care and treatment covered under the law. If they find deficiencies in a facility's compliance with the law, the facility is typically given a date by which to correct those items.

APHIS' IES personnel investigate alleged violations when licensees or registrants have not taken corrective measures to come into compliance with the AWA. If an investigation leads to AWA enforcement action, APHIS will review and consider all probative, reliable, and properly authenticated evidence that is relevant and material to the allegations.

Investigations that reveal AWA violations are acted upon in a variety of ways, depending on their severity. Many infractions can be settled with an official notice of warning or a stipulation offer. Official letters of warning notify a licensee or registrant that further infractions can result in more stringent enforcement action. Stipulations allow alleged violators to pay a penalty, have their license suspended, or both, in lieu of formal administrative proceedings.

In cases of serious or chronic violations, consequences become more substantial. Cases warranting formal prosecution undergo Department-level review for legal sufficiency prior to issuance of a formal administrative complaint. Formal cases may be resolved by license suspensions, revocations, cease-and-desist orders, civil penalties, or combinations of these penalties through administrative procedures.

High-Priority Designation

When a case is designated as "high-priority," AC, IES, and USDA's Office of the General Counsel put special emphasis on the investigation and enforcement of a case to expedite its resolution. This measure has proven successful in shortening the timeframes of significant cases and providing quicker relief for animals protected under the AWA. AC and IES continue to use the high-priority designation in the pursuit of certain cases.

- Severity of animal suffering (death or severe injury),
- Past compliance history of facility,
- Potential public or animal safety or health concerns,
- Abusive or potentially violent nature of licensee or registrant,
- Type of facility and species of animal involved, and
- Severity of the issue resulting in extensive public interest.

Results by Numbers

In FY 2007, APHIS imposed animal welfare-related penalties totaling more than \$614,000.

Table 9 provides detailed information on the number of animal welfare and horse protection enforcement actions conducted and resolved during FYs 2005–2007. It should be noted that not all cases are submitted and settled during the same fiscal year; a case can take considerable time to work its way through the legal system and appeals process.

Performance-Based Management

Under the mandate of the Government Performance and Results Act, AC has taken an active role in measuring its effectiveness in meeting the provisions of the AWA. By measuring its effectiveness, the AC program can continue to make changes when necessary to improve the administration and enforcement of the AWA. The primary measure used in FY 2001–2007 was the percentage of facilities in compliance with regulations. The overall level of facility compliance for FY 2007 was 68 percent. Between FY 2002 and FY 2006, facility compliance averaged 69 percent.

The AC management team has continued the development and implementation of additional measures of program effectiveness. One measure, “percentage of licensees or registrants in substantial compliance,” was instituted in FY 2007 in order to gauge the direct result of inspection and outreach activities aimed at increasing compliance. In FY 2007, 97 percent of facilities were in substantial compliance with the AWA, meaning they had no documented violations of the AWA or only minor noncompliances on their most recent inspection report. Examples of minor noncompliances are gaps in perimeter fencing or improper storage of supplies that can be easily addressed with minimal cost or effort by the licensee or registrant.

In FY 2007, AC also introduced the use of a second measure, “percentage of stakeholders who find outreach activities useful.” AC has found that outreach activities such as workshops and discussions are a vital tool for promoting treatment of regulated animals that meets or exceeds AWA standards. Feedback indicated that during FY 2007, 75 percent of attendees found the outreach activity in which they had participated to be useful in conducting their daily business.

Table 9: AC Enforcement for Cases Referred to IES

FY	2007	2008	2009
Cases	482	480	575
IES Review	302	249	391
Warnings	83	283	219
Stipulations	191	95	87
Submitted to OGC	73	80	76
ALJ Decision	78	96	82
No Violations	67	53	208
Submitted Externally/ Penalty	82	24	11
Stipulations Paid	\$262,200	\$263,596	\$160,184
Civil Penalty	\$614,132	\$644,220	\$946,184

Chart Key

Cases—Number of cases investigated

IES Review—Number of cases received by IES for review

Warnings—Number of letters of warning issued

Stipulations—Number of cases closed with a stipulation paid

Complaints—Number of formal complaints sent by APHIS and USDA's OGC to USDA's Administrative Law Court

ALJ Decisions—Number of formal decisions from Administrative Law Judges

No Violations—Number of cases closed with no violations found

Stipulations Paid—Amount of money collected as a result of stipulation agreements

Civil Penalty—Total amount of money collected as a result of Administrative Law Judge Decisions

Regulatory and Policy Initiatives

AC Policy Manual Under Review

During FY 2007, President George W. Bush issued a directive⁴ that established practices for the content, development, and revision of guidance documents used by Federal agencies. Guidance documents are agency statements of general applicability and future effect (not including regulatory actions) that set forth policies on statutory, regulatory, or technical issues or interpretations of statutory or regulatory issues. This term applies to a wide range of materials that agencies may use to convey information and further explain or clarify regulatory requirements.

Guidance documents can include—among other resources—compliance guides that explain how a regulation applies to an industry sector in sector-specific terms, or supplemental materials that assist companies preparing applications for agency approval. Guidance documents are not subject to the procedural requirements that govern rulemaking.

In response to the President's directive, APHIS reviewed all of its documents and determined the AC policy manual to be a significant guidance document. Therefore, changes to this document must undergo an enhanced review process, including a more formal public comment period.

On July 24, 2007, AC posted its policy manual to APHIS' newly-created Web site for guidance documents and opened the manual for public comment. The public comment period closed on November 16, 2007. AC reviewed the 66 comments received and is taking them into consideration.

The AC policy manual and other APHIS Guidance documents that have been opened for comment can be viewed at <http://www.aphis.usda.gov/guidance>.

⁴ On January 18, 2007, the President issued Executive Order (EO) 13422, which amended EO 12866 on "Regulatory Planning and Review" to include agency guidance documents.

Comments Solicited on Class B Dealer Categorization

In April 2007, AC requested a notice of petition and request for comments on proposed revisions to the definition of Class B licensee in the AWA regulations. Class B licensees include dealers and brokers who buy, sell wholesale, or operate auction markets involving AWA-regulated animals.

The petition recommended that licensees be classified according to how the animals are used. Specifically, the petition suggested the creation of four separate categories: pet distributors, exhibitor animal distributors, laboratory animal distributors, and other distributors. Public comments were accepted until July 9, 2007. APHIS received more than 700 comments. These comments are currently under review by AC. After the review is complete, the program will determine whether any amendments to the AWA regulations are necessary.



Comments Solicited on Elephant Care and Treatment

On August 9, 2006, APHIS published a *Federal Register* notice (Docket No. APHIS-2006-0044) regarding the regulation of elephants under the AWA. AC published the notice in response to a petition from an animal welfare organization to amend the regulations to include regulations specific to elephants. The notice solicited comments from the public on a variety of elephant welfare issues, including arthritis, foot care, and substrate conditions. The notice also requested comments on current industry and professional standards for elephant care and husbandry, as well as any other health or care issues related to elephants that should be specifically addressed in the AWA standards.

AC received approximately 2,100 comments on the notice and is carefully reviewing all input received. Once AC has completed the comment review process, a determination will be made as to constructive actions that can be taken appropriately under the AWA to address elephant welfare in specific terms.



Animal Care News

APHIS Assigned New Role in Household Pet Evacuation and Shelter

In 2006, President Bush signed H.R. 3858—the Pets Evacuation and Transportation Standards Act—to ensure that State and local emergency preparedness operational plans address the needs of individuals with household pets and service animals following a major disaster or emergency. In 2007, the Post-Katrina Emergency Management Reform Act gave the U.S. Department of Homeland Security’s (DHS) Federal Emergency Management Agency (FEMA) statutory authority for Federal disaster response activities for household pets and service animals. As a result of the two pieces of legislation, Federal and State disaster response planning efforts must now include provisions for household pets and services animals.

The National Response Framework (NRF) is a document that describes how the Federal government coordinates with State, local, and Tribal Governments and the private sector during a crisis. This framework,

which became effective on March 22, 2008, contains numbered Emergency Support Function (ESF) Annexes that group Federal resources and capabilities into the functional areas that are most needed in a national response. The safety and well-being of household pets has been added as a primary function of the ESF Annex #11, Agriculture and Natural Resources. Consequently, AC has a new role in planning and coordinating disaster response efforts for household pets.

A number of entities play important roles in disaster response for household pets and service animals. Over the past 2 years, AC worked closely with these organizations—including DHS; the U.S. Department of Health and Human Services (HHS); the Federal agencies responsible for the coordination of ESF #8 Public Health and Medical Services, ESF #6 Mass Care, Emergency Assistance, Housing and Human Services, and ESF #9 Search and Rescue; as well as volunteer animal humane organizations—in order to provide an integrated approach to disaster relief for pets.



In carrying out its new responsibilities, AC will be actively working with its State partners on emergency planning. AC has already been involved in assisting the States of Louisiana and Delaware with emergency planning efforts. AC also provided assistance to California during the 2007 wildfires.

To learn more about the NRF, go to DHS's online NRF Resource Center at <http://www.fema.gov/emergency/nrf/>.

Report Submitted to Congress on Pet Microchipping

As part of the Conference Committee Report accompanying the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2006, Congress directed APHIS to explore the use of microchips to identify pets. APHIS was asked to develop appropriate regulations that allow for an open radio frequency identification system that would enable a "universal" scanner to read all microchips used for the identification of pets. APHIS published a request for comments in the *Federal Register* on March 10, 2006, and hosted public meetings in six locations around the country on the subject. AC received 1,028 written comments in response to the notice.

In preparing the report, AC evaluated microchip frequencies, including the frequency recognized by the International Standards Organization, the world's largest developer of voluntary standards. In the report, AC expressed support for the concept and use of microchipping but not the use of a particular brand or frequency. Given APHIS' existing regulatory authority and feedback from stakeholders, AC concluded that it would be difficult to establish Federal regulations on pet microchipping at this time.

Highlights from the report

- APHIS cannot mandate a single national standard for pet microchips or microchip scanners. The agency's regulatory authority is limited to AWA-regulated entities.
- Under AWA regulations, APHIS requires individual identification for dogs and cats used in research, wholesale trade, or exhibition. Currently, the acceptable methods of identification include tags, tattoos, and collars.
- APHIS has allowed microchip identification to be used on a case-by-case basis without specification of type to meet the law's animal identification requirements.
- APHIS remains interested in working with microchip manufacturers, humane organizations, veterinarians, and other stakeholders to explore options to increase the effectiveness of microchipping as a means to reunite lost pets with their owners.
- APHIS personnel continue to be available to respond to all stakeholder requests for assistance with efforts to educate the public about microchip technology's advantages and limitations.

AC Takes Active Role in Avian Influenza Surveillance

AC has been actively involved in avian influenza surveillance and monitoring planning. The program is working closely with the regulated community to ensure that highly pathogenic avian influenza (HPAI) is not spread to exhibited birds, such as those in zoos and aviaries. AC took the lead in evaluating field tests involving the use of water-based firefighting foam as a new mass depopulation method for infected and exposed poultry. In addition, program personnel participated in training and informational meetings in the United States and around the world regarding the monitoring and control of HPAI and possible responses to a potential pandemic situation. These meetings involved more than 1,000 people and covered general information on avian influenza, as well as vaccinations, surveillance, and diagnostics.



Funding Provided in Relation to “Pain and Distress”

In September 2007, with funding from USDA and other sources, the Institute for Laboratory Animal Research (ILAR) initiated a project on the “Recognition and Alleviation of Distress in Laboratory Animals.” The Report will serve as an update to the 1992 ILAR report of a similar name.

AC has long given serious consideration to this issue. In July 2000, AC published an advanced notice of proposed rulemaking regarding definitions for and reporting of pain and distress. More than 2,500 comments were received and reviewed. Following review of the comments, AC determined that an evaluation of the scientific literature regarding distress in animals was needed. ILAR offered to update the 1992 document, and work on the project is currently underway.



Stricter Penalties Imposed for Animal Fighting

On May 3, 2007, President Bush signed into law H.R. 137, the Animal Fighting Prohibition Enforcement Act of 2007. H.R. 137 made it a felony to violate AWA provisions pertaining to animal fighting. Under H.R. 137, the possession of implements of dogfighting and cockfighting, as well as violations of AWA animal fighting provisions, are felony offenses punishable by up to 3 years’ imprisonment. The legislation also lends additional Federal resources to the investigation and prosecution of these violations, increasing enforcement abilities.

The AWA prohibits individuals from sponsoring or exhibiting an animal in a fighting venture if the animal was transported across State lines or in foreign commerce for that purpose. It also prohibits selling, buying, transporting, or delivering an animal in interstate or foreign commerce for animal fighting. Officials with APHIS and USDA’s Office of the Inspector General (OIG) work cooperatively with State and local authorities to investigate and enforce Federal and State laws regarding animal fighting. OIG initiates investigations based upon the potential for criminal prosecution and as resources permit.

AC Personnel Continue to Offer Expertise and Assistance

When necessary and appropriate, members of AC's staff offer their expertise and assistance to regulated and nonregulated entities. Some notable recent instances:

- AC personnel provided help in the relief efforts after Hurricanes Katrina and Rita in 2005. During the storms, staff members aided in the rescue of animals and people, as well as provided key aid in the recapture of eight dolphins that had escaped from a regulated facility as a result of a storm surge. Prior to the storms, AC assisted with the evacuation of important and valuable scientific research animals. AC is currently working to better define the role the program will play in future incidents of this nature in those cases where its role is not addressed in the NRF.
- AC staff continues to provide support for disaster relief and emergency response efforts by providing staff support to FEMA help desks under ESF #11 and ESF #6. (ESF #6 supports mass care and shelter of victims of a disaster.) The program also provides assistance and expertise to regulated parties during a crisis.
- In FY 2007, one of AC's field veterinarians was deployed to Kosovo. To date, the inspector has procured more than 400 medical texts to establish a veterinary medical library for a new veterinary school in Kosovo, obtained a \$1,000 developmental award for that school, and worked with the faculty there to develop an animal welfare course. He has also de-wormed 3,000 head of cattle, vaccinated dogs against rabies, distributed herd health management information to local villages, overseen the approval of a bottled water plant, and monitored the area for any zoonotic disease outbreaks.
- Inspectors and their supervisors continue to serve as panel members in IACUC 101 training workshops that have trained numerous IACUC members.
- AC inspectors continue to provide assistance to State officials and other organizations when those officials or organizations seek to seize animals or shut down animal facilities. In FY 2007, AC assisted in 21 such situations. For example, when the State of Maine seized hundreds of dogs at a large-scale breeding facility, AC inspectors offered medical advice, assisted in administering medications, and helped to identify animals, among other activities.
- One of the program's field specialists aided a regulated university in finding a replacement for its mascot, a large exotic cat. The story made national news and resulted in a high level of public interest for both the program and the university.

Outreach

In FY 2007, AC carried out a number of outreach activities aimed at educating and informing all program stakeholders about the AWA and AC's role in its enforcement. AC has used a range of communications tools to achieve its outreach goals, and the program plans to build upon its success by continuing to expand the scope of its efforts.

Over the past 4 years, AC upgraded many of its materials and developed a number of new publications. Currently, the program has three full-color brochures available to the public: one on the AC program, one on the AWA, and one on the HPA. AC also has a number of factsheets posted online concerning various aspects of the AWA and HPA.

AC is continuing to look for ways to improve its outreach efforts to the regulated community, industry groups, and other interested parties. To promote discussion and understanding between stakeholders and AC, general listening and information sessions were held during FY 2007 on a variety of AWA topics.

AC also maintained booths and distributed informative materials at approximately 70 industry meetings in FY 2007. These meetings offered an opportunity to speak directly in an informal setting with the regulated community and to encourage increased dialogue.

During FY 2007, program personnel also participated in more than 25 local career fairs throughout the United States. These events were attended by more than 2,000 participants, including Federal and State government representatives, licensees, and members of the general public.

AC undertook several initiatives to reach underserved parties in FY 2007. One such initiative is AC's continued participation in the USDA program AgDiscovery. Providing a summer camp-like experience for interested students, AgDiscovery aims to familiarize them with USDA programs and to foster an enduring interest in agricultural issues and careers. FY 2005 was the inaugural year for this program, and AC personnel helped develop and instruct a summer camp held at Alcorn State University in Mississippi for 20 students. In FY

2006, 11 AC personnel participated in the AgDiscovery summer session. In FY 2007, more than 20 members of the AC team participated in the camp as part of APHIS' work to introduce students to the variety of educational and work opportunities available in agriculture, specifically those available within the AC program.

AC also reaches out to young people by hosting interns, mentoring veterinary students, and supporting part-time workers via APHIS' Stay-in-School program. In FY 2007, AC hosted more than 25 interns and students and continued to formally mentor a veterinary student.

The program also provides members to the APHIS Native American Working Group (NAWG), and supports the group's efforts to reach out to Native American populations. AC has represented APHIS at meetings of the Intertribal Agricultural Council and the Native American Fish and Wildlife Society. AC also maintains the agency's NAWG Web site and several e-mail lists and is a primary point of contact for members of the public seeking information about this working group. In FY 2007, AC representatives worked to develop projects in Native American communities and assisted in funding a booth at the National Powwow in August.

Automated Telephone Service Assists Travelers

In FY 2007, AC continued to operate its toll-free, 24-hour, automated telephone voice response service (800-545-USDA). This service provides information on the humane handling of cats and dogs during transport, including the AWA requirements for temperature, shipping documents, food and water, and cage sizes. Designed to be user-friendly, the service is recommended for inclusion in training courses for airline cargo handlers, ticket agents, and supervisors. It also provides helpful information to people interested in traveling with their pets.

AC's Web Site Undergoes Redesign

During FY 2007, APHIS' Web site underwent a redesign to conform to USDA's eGovernment guidelines. The goal of this restructuring was to ensure that people visiting USDA's Web site would find it easier to obtain information about the Department's programs and services. Web sites for all USDA agencies and their corresponding programs now have a uniform look, feel, and navigation. As a result, AC's Web address has been changed to www.aphis.usda.gov/animal_welfare/.

Coordinating with Other Federal Agencies

USDA, represented by a member of AC's staff, serves on the Interagency Coordinating Committee on the Validation of Alternative Methods (ICCVAM), whose members come from 15 Federal agencies that use, generate, or disseminate toxicological information. Mandated by Congress in 2000, the Committee is supported by the National Institute of Environmental Health Sciences, part of HHS' National Institutes of Health. ICCVAM is an evaluation committee that reviews research using alternative methods submitted by its stakeholders. After reviewing new or modified test results, the Committee makes recommendations on the scientific validity of the test methods and forwards them to regulatory Agencies for acceptance decisions. Through this process, ICCVAM facilitates the national and international regulatory acceptance of alternative testing methods.

AC also maintains close working relationships with other Federal agencies, including many that conduct activities related to the enforcement of the AWA. APHIS cooperates with HHS' National Institutes of Health (especially NIH's Office of Laboratory Animal Welfare), Centers for Disease Control and Prevention, and Food and Drug Administration; the U.S. Department of Defense; the U.S. Department of Veterans



Affairs; the Marine Mammal Commission of the U.S. Department of Commerce's National Marine Fisheries Service; the U.S. Department of the Interior's Fish and Wildlife Service; and, the U.S. Environmental Protection Agency.

Animal Welfare Information Center

The Animal Welfare Information Center (AWIC) of USDA's National Agricultural Library (NAL) also supports AC's efforts. Established in December 1986, the AWIC provides valuable information pertaining to methods of humane care and use, alternatives to the use of live animals in research, and methods to minimize pain and distress to animals. The AWIC also provides materials, services, and activities to help the regulated community with employee training.

Table 10: USDA–NAL–AWIC

AWIC Coordinator
National Agricultural Library
10301 Baltimore Blvd.
Beltsville, MD 20705
(301) 504–6212

E-mail Address
awic@nal.usda.gov

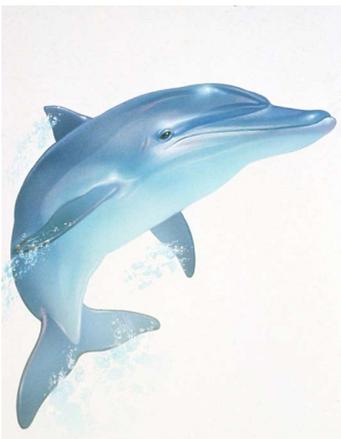


Communicating and Cooperating with Stakeholders

In FY 2007, APHIS and the research community continued to co-sponsor a research preceptorship program that sends AC veterinarians through 4 intensive weeks of training at various research facilities and teaching institutions, plus 1 week at the national meeting of the American Association for Laboratory Animal Science.

AC personnel also attended and participated in national meetings held by various organizations, including the Association of Zoos and Aquariums, the American

Veterinary Medical Association, the American Association of Laboratory Animal Science, and the Scientists Center for Animal Welfare. Additionally, AC personnel took part in a number of international, national, regional, and local industry and stakeholder meetings. Overall, AC employees gave 200 presentations at over 140 meetings in FY 2007, providing informative materials and booth exhibits at 70 of those meetings. In many cases, APHIS employees staffed the booths in order to answer questions and provide information on AC's enforcement of the AWA.





Answering Public Inquiries

During FY 2007, through its headquarters and regional offices, AC received and responded to more than 10,000 telephone inquiries and 5,000 items of correspondence regarding animal welfare. Correspondence included regular mail, e-mail, faxes, and write-in campaigns. Other Federal agencies and the White House also referred animal welfare concerns to APHIS for response, making AC issues the subject of 500 items of executive correspondence.

Assisting the Media

AC assisted media officials in various ways during FY 2007. The program fielded more than 800 calls from members of the media, including inquiries from major media outlets such as the *New York Times*, *USA Today*, and CBS Evening News. AC provided information regarding a range of cases and enforcement actions, among other subjects.

E-FOIA Access to AC Data

In FY 2007, AC responded to more than 650 requests for information via the Freedom of Information and Privacy Acts. Many of these requests were for copies of the reports generated after inspections of facilities licensed or registered under the AWA. To assist in making these documents more readily available to the public in accordance with the Electronic Freedom of Information (E-FOIA) Act of 1996, APHIS has made many frequently requested inspection reports available on AC's Web page, along with annual reports from research facilities. APHIS is working to expand the numbers of documents posted to the site. Inspection reports not available on the AC Web site must be requested through the Freedom of Information Act (FOIA) process.

Table 11: Animal-Welfare-Related FOIA Requests Received by APHIS, FY 2005–2007

FY	FOIA requests
2007	650
2006	800
2005	700

The Horse Protection Act



Appropriations for Horse Protection, FY 2002–2007

In FY 2007, the AC program received approximately \$500,000 in appropriations for activities related to administration of the HPA. Table 12 shows APHIS' horse protection-related appropriations for FY 2002–2007.

History of the HPA

Passed by Congress in 1970, the HPA (PL 91-540) prohibits the showing, sale, auction, exhibition, or transport of sored horses. Congress found and declared that the soring of horses is cruel and inhumane, and that sored horses, when shown or exhibited, compete unfairly with horses that are not sore. Congress amended the HPA in 1976 (PL 94-360), expanding the inspection program by directing the Secretary of Agriculture to prescribe, by regulation, requirements for the appointment of persons qualified to conduct inspections for the purpose of enforcing the Act. The Designated Qualified Person (DQP) program was established by

regulations published in the *Federal Register* in 1979. The DQP program is further discussed in the “Administration of the HPA” section of this report.

“Soring” is defined as the application of any chemical (e.g., mustard oil or diesel fuel), mechanical agent (e.g., overweight chains), or practice (e.g., trimming a hoof to expose the sensitive tissue) inflicted upon any limb of a horse, that can cause or be expected to cause the horse to suffer physical pain or distress when moving. The practice of soring horses is aimed at producing an exaggerated show gait for competition. This practice is primarily used in the training of Tennessee Walking Horses, racking horses, and related breeds. Although a similar gait can be obtained using selective breeding and humane training methods, soring achieves this accentuated gait with less effort and over a shorter period of time. Thus, this practice gives the person showing a sored horse an unfair advantage over those showing sound horses.

The HPA prohibits anyone—including trainers, riders, owners, or representative agents—from entering a sored horse into a show, sale, auction, or exhibition. The Act gives the management of a horse show or sale the statutory responsibility of identifying sored horses and preventing them from participating in these events. The Act does not give APHIS authority to oversee horse shows or interfere in any way with their production aside from actions necessary to enforce the law.

Table 12: Appropriations for Horse Protection, FY 2002–2007

FY	Annual appropriations for HPA enforcement
2007	\$497,000
2006	\$492,000
2005	\$493,000
2004	\$487,000
2003	\$490,000
2002	\$415,000

Administration of the HPA

The HPA is administered by USDA through APHIS. A 1976 amendment to the Act, as explained previously, led to the establishment of the DQP program. A DQP is a person who, under the provisions of Section 4 of the HPA, may be appointed and delegated authority by the management of a horse show or sale to detect horses that are sore and to otherwise inspect horses for the purpose of enforcing the Act. A DQP must meet the requirements set forth in 9 CFR Section 11.7 and must be licensed by a Horse Industry Organization (HIO) certified by the Department.

Individuals who have been licensed as DQPs under Section 11.7 are usually farriers, trainers, or individuals with a basic knowledge of horses and the equine industry. DQP candidates must successfully complete a formal training program before becoming licensed.

Additionally, this regulatory section allows a Doctor of Veterinary Medicine accredited by USDA in any State to become licensed as a DQP without having to participate in formal training. This veterinarian must also be either a member of the American Association of Equine Practitioners, a large-animal practitioner with substantial equine experience, or one who is knowledgeable in the area of equine lameness as related to soring and soring practices.

The DQP program provides one of the primary mechanisms for detecting sore horses. HIOs with certified DQP programs participate with APHIS in yearly DQP training seminars, refresher clinics, and educational forums. APHIS veterinary medical officers (VMOs) provide instruction and guidance at these sessions, which incorporate classroom training as well as “hands-on” instruction with horses. Regulatory policy, procedures, and methods of inspection are reviewed throughout the year with representatives of the horse industry.



In 1999, APHIS entered into a voluntary agreement, known as the Horse Protection Operating Plan, for the 1999 Horse Show Season (OP99) with the eight HIOs that operated certified DQP programs. This agreement served as the first operating plan for the program and was a continuation of the working relationship established between APHIS and the HIOs in previous years. The plan formally outlined the process of delegating initial enforcement responsibility of the HPA to the HIOs through the DQP program. Due to budgetary constraints, APHIS veterinarians typically attend 10 percent of the horse events affiliated with the certified HIOs; the OP99 was an attempt to increase and achieve more consistent enforcement of the HPA. This operating plan was a 1-year plan and expired on December 31, 1999. Since then, APHIS has continued the practice of using operating plans in the administration of the HPA.

Both plans are currently effective, and HIOs have three options: signing the February 2007 plan; signing the July 2007 plan; or, declining to sign either. By signing one of the plans, the HIOs voluntarily agree to carry out the duties and responsibilities described in that document. For non-signatory HIOs, all HPA enforcement is handled by APHIS. The two operating plans will expire on December 31, 2009. At the time of printing, only one HIO had not signed either plan.

APHIS, in collaboration with the HIOs, routinely evaluates the effectiveness of OP07-09 and modifies it as needed.

2007–2009 Horse Protection Operating Plans

APHIS published two separate but nearly identical Horse Protection Operating Plans for 2007–2009, referred to collectively as OP07-09. HIOs were given the option of signing either plan. APHIS developed OP07-09 based on feedback from listening sessions held throughout FY 2006 and public comments received on the draft document.

APHIS first published a 2007–2009 Horse Protection Operating Plan in February 2007. This initial publication eliminated a 12-month probationary period for persons who had incurred previous industry suspensions for scar rule violations. APHIS removed this provision after receiving a significant number of public comments opposing the probationary period. However, in response to concerns expressed by some in the industry and in an effort to facilitate the participation of more HIOs in the plan, APHIS published a separate operating plan in July 2007 that reinstated the probationary period. The two plans are identical except for the probation provision.

Compliance inspections for the 2007 show season were conducted in accordance with provisions of the HPA, relevant sections of the CFR, inspection guidelines established by APHIS, and OP07-09. HIOs regulate their internal activities in accordance with the Horse Protection regulations in 9 CFR and through standards established in their industry rulebooks.

Licensed DQPs receive inspection assignments to various shows and sales through certified HIOs. While affiliation with a certified HIO and the use of licensed DQPs is not mandatory, most horse show and sale managers choose to use DQPs to reduce their liability under the Act if a horse is shown or sold while sore. When the management of a show, sale, auction, or exhibition does not affiliate with a certified HIO to secure inspections by a licensed DQP, the show manager and other responsible individuals are held accountable for any violations of the HPA that occur at the event. APHIS strives to ensure that certified HIOs effectively identify sore horses, impose proper penalties, and assist the agency in its goal of eliminating the practice of soring. APHIS officials also monitor as many unaffiliated horse shows—i.e., horse shows that do not hire licensed DQPs, or are not managed by certified HIOs who maintain DQP programs—as time and resources allow.

Horse Industry Organizations

During 2007, 14 HIOs maintained DQP programs certified by USDA. These HIOs are listed in Table 13.

Table 13:

Horse Industry Organizations
Friends of Sound Horses
Heart of America Walking Horse Association
Horse Protection Commission
International Walking Horse Association
Kentucky Walking Horse Association
Missouri Fox Trotting Horse Breeding Association
National Horse Show Commission
National Walking Horse Association
Oklahoma Horse Association
Sound, Honest, Objective, Winning (SHOW)
Spotted Saddle Horse Breeders and Exhibitors Association
Tennessee Walking Horse Breeders and Exhibitors Association
United Mountain Horse, Inc.
Western International Walking Horse Association

HIOs participating in an operating plan in 2007 agreed to impose the penalties contained in the plan for violations of the HPA identified by their DQPs. These penalties are intended to act as deterrents to entering a sore horse in shows or sales. To ensure consistency and fairness, the HIOs agreed to honor each other’s suspensions, share information on violations, and cooperate on compliance issues. APHIS monitors HIO compliance by reviewing show management, HIO and DQP reports that are filed with the agency, and conducting audits of records maintained by the certified DQP programs. APHIS VMOs are assigned to attend selected shows and sales to evaluate HIOs’ inspection procedures and the performance of individual DQPs.

Penalty Structure

If an HIO fails to impose the proper penalty for a violation of the HPA, APHIS may bring administrative or criminal complaints against the alleged violators. Administrative complaints may result in civil penalties of not more than \$3,000 for each violation, and an order disqualifying the violator from showing or exhibiting horses or otherwise participating in any horse event except as a spectator. Periods of disqualification are determined on a case-by-case basis but must be no less than 1 year for the first violation and no less than 5 years for subsequent violations. Civil penalties of up to \$3,300 can be assessed for a violation of an order of disqualification. The Act also authorizes the Secretary of Agriculture to provide for the settlement of cases by way of consent decisions. Criminal proceedings may be initiated against individuals who knowingly violate the Act. Criminal penalties include fines of up to \$3,000 and 1-year imprisonment for a first offense. Each subsequent violation may result in fines of up to \$5,000 and imprisonment for up to 2 years.

Conflict Resolution

Under the terms of OP07-09, APHIS placed primary enforcement responsibility for the HPA with the certified DQP programs and agreed not to seek Federal prosecution if the HIOs properly identified violations and imposed the appropriate penalties as provided in these plans. Provisions were included in the event of disagreements arising between VMOs and DQPs regarding the identification of any HPA violations. If a disagreement cannot be resolved at the show, the VMO(s) and DQP(s) submit written documentation to their respective supervisors or coordinators, who then attempt to resolve the dispute.

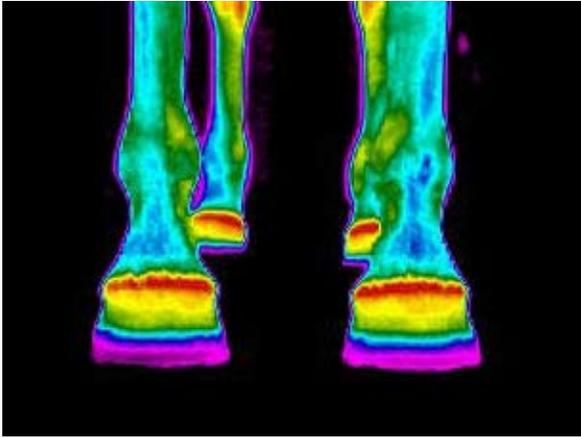
Enforcement Proceedings

APHIS assumes primary enforcement functions at un-affiliated horse events and at affiliated events for HIOs that have not signed an operating plan. In 2007, APHIS initiated 100 investigations for alleged violations of the HPA and regulations. In addition, 21 investigations were still open in 2007 from previous years. Table 9 on page 15 reflects AC enforcement action for horse protection as well as animal welfare.

Enforcement Proceedings

Gas Chromatography/Mass Spectrometry

In an effort to incorporate the most current technology in HPA enforcement activities, AC has invested in a gas chromatography/mass spectrometry (GC/MS) unit to identify foreign substances that may be used to sore horses. GC/MS is a testing technique used to identify the composition of chemical mixtures that are sometimes applied to horses' legs in order to sore them. These mixtures can include masking, numbing, or counterirritant agents. AC collects the samples at shows and sends them to APHIS' National Veterinary Services Laboratories in Ames, Iowa, where testing is conducted to identify any chemicals in the samples. GC/MS can detect minute amounts of substances. Prior to GC/MS analytical techniques, foreign substances were detected by sight or smell. FY 2004 was a pilot testing year, and public sessions were held to introduce and discuss the technology. From FY 2005 through FY 2007, AC has been working out the details of the technology and the protocol for its use. GC/MS test results for FY 2007 appear in Appendix 6 of this document.



Thermography

In FY 2007, AC began to consider the use of thermography in its HPA enforcement. Thermography is a technology that uses thermographic cameras to detect and produce images of radiation (i.e., the heat emitted by an object based on its temperature). When a picture is taken using thermal imaging technology, warmer areas of the subject appear in a different color than the cooler areas. AC plans to use thermography as an additional diagnostic tool in conjunction with the physical examination procedures already used by VMOs and DQPs to detect soring. For example, prior to a physical inspection of a horse, a VMO can use a thermographic camera to capture an image of the horse's front limbs and hooves, review the image immediately, and note any evidence of excessive heat. Because excessive heat suggests the existence of abnormalities, areas shown to be producing excessive heat can be further evaluated to determine whether there is evidence of inflammation, a foreign substance, or possibly pressure shoeing—all violations of the HPA. A further evaluation can take the form of visual detections of lameness, palpation of the horse's legs, pulling of its shoes, a foreign substance swab test, or a post-show examination.

Outreach

In FY 2007, AC continued to hold listening sessions and public meetings regarding the HPA to encourage dialogue between the program and horse show participants, the animal welfare community, and other interested parties. Through the use of forums and meetings, AC can educate the regulated community and the public on the HPA and foster greater cooperation. AC expects to expand its outreach efforts for horse protection in the coming fiscal year. AC has also continued its training of VMOs and DQPs to promote consistency in the enforcement of the HPA.

Horse Protection on the Web

AC has made its Web site an integral source of information on the HPA. In an effort to better demonstrate the types of scars identified during its enforcement activities, AC has posted pictures of all scar rule violations found during the 2007 show season to its Web site, http://www.aphis.usda.gov/animal_welfare/hp/. Although these pictures do not reflect all possible types of scar rule violations, they are useful in clarifying what AC deems to be a violation of the scar rule. In addition, results from all horse shows attended by VMOs and DQPs in calendar year 2007 can be found online as well as in Appendices 8–9 of this document. AC has also made available on its Web site the current disqualification list, the 2007–2009 Horse Protection Operating Plan, the Horse Protection Strategic Plan, and other documents. As always, the HPA and its regulations can be viewed on AC's Web site.

How to Obtain AWA and HPA Enforcement Information

AC receives many requests for information about AWA and HPA cases and investigations, as well as related IES and OGC enforcement documents. AC is committed to ensuring that the public is informed regarding what information is available to them under the law. Although APHIS does not confirm or deny whether there is an ongoing investigation into a facility, IES and OGC enforcement documents—once processed—are typically available via the Freedom of Information process. To make a FOIA request by mail, send the request to: APHIS, Director, Freedom of Information and Privacy Act Staff, Unit 50, 4700 River Road, Riverdale, Maryland 20737. To make a FOIA request by fax, send the request to (301) 734-5941. To make a FOIA request online, go to http://www.aphis.usda.gov/footer_items/how_to_submit_a_foia_request.shtml, and click on the link “Click here to submit your FOIA request online.” Enforcement documents regarding cases settled in USDA's Administrative Law Court can be obtained by visiting the Court's Web site at <http://www.usda.gov/da/oaljdecisions> or by contacting its hearing clerk at (202) 720-4443.



Glossary of AWA Terms

Airport inspection—Individual airline inspections of terminal, cargo, and baggage areas made at airports for compliance with the AWA regulations and standards.

Alleged violation—A violation of the AWA regulations or standards that has been documented as existing but has not been legally concluded.

Carrier—The operator of any airline, railroad, motor carrier, shipping line, or other enterprise that is engaged in the business of transporting any animals for hire.

Commerce—Trade, traffic, or transportation that is between a place in a State and any place outside of that State (including foreign countries), or between points within the same State but through any place outside of the State.

Complaints—(1) A civil or administrative complaint informs the alleged violator of the AWA about allegations charged against him/her. (2) A public complaint is information received from citizens, humane groups, or others concerning possible violations of the AWA, regulations, or standards at animal facilities.

Compliance—The status of a facility that meets all of the regulatory requirements set forth in the AWA regulations and standards.

Dealer—Any person who, in commerce, for compensation or profit, delivers for transportation, or transports (except as a carrier), buys, or sells, or negotiates the purchase or sale of (1) any dog or other animal whether alive or dead (including unborn animals, organs, limbs, blood, serum, or other parts) for research, teaching, testing, experimentation, exhibition, or for use as a pet; or (2) any dog for hunting, security, or breeding purposes. The term “dealer” does not include a retail pet store unless such store sells any animals to a research facility; an exhibitor, or to a dealer (wholesale); or any person who does not sell, or negotiate the purchase or sale, of any wild or exotic animal, dog, or cat and who derives no more than \$500 gross income from the sale of animals, dogs, or cats, during any calendar year.

Enforcement—The activities undertaken by USDA and APHIS AC and IES personnel to ensure that the AWA’s regulations and standards are met. Enforcement includes developing alleged violation cases and taking action in the form of Letters of Warning, warning tickets, stipulations, administrative complaints, hearings, trials, and other legal procedures and methods to obtain compliance.

Exhibitor—Any person (public or private) exhibiting any animals that were purchased in commerce or the intended distribution of which affects commerce, or will affect commerce, to the public for compensation. Exhibitors include carnivals, circuses, animal acts, zoos, and educational exhibits, whether exhibiting for profit or not. The term “exhibitor” excludes most retail pet stores, horse races, and dog races; organizations sponsoring and all persons participating in State and county fairs, livestock shows, rodeos, field trials, coursing events, purebred dog and cat shows, and any other fairs or exhibitions intended to advance agricultural arts and sciences.

Facility—A facility is the holder of the license or registration. Each facility may have only one license and/or registration number but may be physically divided into two or more sites.

Inspections—

- **Attempted inspection**—An inspection that could not be completed, including those where representatives of the inspected entities were not on-site or transportation facilities were found to have no animals present.
- **Compliance inspection**—An unannounced inspection completed, after licensing or registration, to determine the facility’s compliance with the AWA regulations and standards. Compliance inspections include re-inspections.

- **Pre-licensing or Pre-registration inspection**—An announced inspection made, after application for licensure or registration has been submitted, to ascertain compliance with the AWA regulations and standards prior to licensing or registering the facility. Pre-licensing inspections are required. Pre-registration inspections, although not required, are often performed upon request of the facility.

Intermediate handler—Any person who is engaged in any business receiving custody of animals in connection with their transportation in commerce. This definition excludes dealers, research facilities, exhibitors, operators of auction sales, and carriers.

Investigation—An inquiry or examination into allegations that a person or facility is not complying with the AWA, its regulations or standards.

Letter of Warning (Form 7060)—Letters of Warning officially notify facilities that additional infractions can result in further enforcement action.

License classes—

- A Class A licensee is anyone meeting the definition of “dealer” whose business consists only of animals that are bred and raised on the premises in a closed or stable colony and those animals acquired for the sole purpose of maintaining or enhancing the breeding colony.
- A Class B licensee is anyone meeting the definition of a “dealer” whose business includes the purchase and/or resale of any animal. Class B licensees include brokers and operators of auction sales, as such individuals negotiate or arrange for the purchase, sale, or transport of animals in commerce.
- A Class C licensee is anyone meeting the definition of an “exhibitor” whose business involves the showing or displaying of animals to the public.

Office of Administrative Law Judges—The (OALJ) consists of Judges who conduct rulemaking and adjudicatory hearings throughout the United States in proceedings subject to the Administrative Procedure Act (APA), 5 U.S.C. § 551 et seq. There are approximately 40 statutes administered by agencies within USDA that require APA hearings. The AWA is one of those statutes. The Judges issue initial decisions and orders in adjudicatory proceedings which become final decisions of the Secretary unless appealed to the Secretary’s Judicial Officer by a party to the proceedings. Final consent orders are issued by the Judges following hearings or upon waiver of a hearing.

Random-source dogs and cats—Animals acquired from animal pounds and shelters, auction sales, or from any person who did not breed and raise the animals on his or her premises.

Registrant—Any research facility, carrier, intermediate handler, or exhibitor whose primary business is not required to be licensed by the AWA. If a registered facility conducts an activity that requires a license, then it will need to be licensed for that activity. For example, some research facilities have a dealer license in addition to their registration because they occasionally sell surplus animals to other research facilities.

Research facility—Any school (other than elementary or secondary), institution, organization, or person that uses or intends to use live animals in research, tests, or experiments, and that (1) purchases or transports live animals in commerce or (2) receives funds under a grant, award, loan, or contract from a department, agency, or instrumentality of the United States for the purpose of carrying out research, tests, or experiments.

- An active registered research facility is a USDA-registered research facility that currently utilizes animals covered by the AWA for teaching, testing, or experimentation.
- An inactive registered research facility is a USDA-registered research facility that currently does not utilize animals covered by the AWA for teaching, testing, or experimentation.

Retail pet store—Any outlet where only the following animals are sold or offered for sale, at retail, for use as pets: dogs, cats, rabbits, guinea pigs, hamsters, gerbils, rats, mice, gophers, chinchillas, domestic ferrets, domestic farm animals, birds, coldblooded species, and other common small pets. Retail pet stores do not include any establishment or persons who (1) deal in dogs used for hunting, security, or breeding purposes; (2) exhibit, sell, or offer to exhibit or sell, any wild or exotic or other nonpet species of warmblooded animals (except birds) such as skunks, raccoons, nonhuman primates, squirrels, ocelots, foxes, coyotes, etc.; (3) sell warmblooded animals (except birds and laboratory rats and mice) for research or exhibition purposes; (4) wholesale any animals (except birds and laboratory rats and mice); or, (5) exhibit pet animals in a room that is separate from or adjacent to the retail pet store, or in an outside area, or anywhere off the retail pet store premises.

Search—Activity associated with finding unlicensed or unregistered entities.

Stipulation—An agreement by a violator to accept assessment of a civil penalty, license suspension, or combination of both. The stipulation procedure is used instead of formal administrative hearings. Alleged violators are offered the opportunity to waive a hearing by agreeing to enter into a stipulation, in which case they will pay a specified civil penalty and/or have their license suspended for a specified period.

Violation—An area or item, at a registered or licensed facility, found to be out of compliance with the regulations or standards of the AWA, as adjudicated by an administrative law judge.

Glossary of HPA Terms

Designated Qualified Person—Person meeting the requirements specified in the HPA regulations who has been licensed by a horse industry organization (HIO) or association having a DQP program certified by USDA and who may be appointed and delegated authority by the management of any horse show, horse exhibition, horse sale, or horse auction under section 4 of the Act to detect or diagnose horses that are sore or to otherwise inspect horses and any records pertaining to such horses for the purposes of enforcing the Act.

Foreign Substance—Any substance prohibited from being applied to a Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction. Only lubricants such as glycerin, petrolatum, and mineral oil, or mixtures thereof, are allowable within certain circumstances established in the HPA regulations.

Horse Industry Organization—An organized group of people, having a formal structure, who are engaged in the promotion of horses through the showing, exhibiting, sale, auction, registry, or any activity which contributes to the advancement of the horse. Such organizations can become certified by USDA to maintain DQP programs.

Operating Plan—A voluntary agreement entered into between USDA and certified HIOs that formally outlines the process of delegating initial enforcement responsibility of the HPA to the HIOs through their DQP programs. For non-signatory HIOs, all HPA enforcement is handled by APHIS

Pressure Shoeing—Causing pain to a horse's hoof by inserting an object between the sole and the shoe, or by trimming the horse's sole down to the quick before the shoe is nailed on.

Scar Rule—The anterior and anterior-lateral surfaces of the fore pasterns (extensor surface) must be free of bilateral granulomas, other bilateral pathological evidence of inflammation, and other bilateral evidence of abuse indicative of soring including, but not limited to, excessive loss of hair.

Soring—A horse is deemed sore if:

- (1) An irritating or blistering agent has been applied, internally or externally, by a person to any limb of a horse;
- (2) Any burn, cut, or laceration has been inflicted by a person on any limb of a horse;
- (3) Any tack, nail, screw, or chemical agent has been injected by a person into or used by a person on any limb of a horse; or
- (4) Any other substance or device has been used by a person on any limb of a horse or a person has engaged in a practice involving a horse, and, as a result of such application, infliction, injection, use, or practice, such horse suffers, or can reasonably be expected to suffer, physical pain or distress, inflammation, or lameness when walking, trotting, or otherwise moving, except that such term does not include such an application, infliction, injection, use, or practice in connection with the therapeutic treatment of a horse by or under the supervision of a person licensed to practice veterinary medicine in the State in which such treatment was given.

Veterinary Medical Officers—USDA representatives who inspect horse shows, exhibitions, sales, and auctions affiliated or sanctioned by HIOs in order to determine whether or not the DQP programs are successful in the detection of sore horses and individual DQPs are properly trained and competent for that purpose.

Appendix 1



ANIMALS USED IN RESEARCH

05-AUG-2008

PAIN TYPE: ALL

Page: 1

CUSTOMER TYPE: ALL

FISCAL YEAR: 2007

Species:	All Other Covered Species	Cats	Dogs	Guinea Pigs	Hamsters	Nonhuman Primates	Other Farm Animals	Pig	Rabbits	Sheep	Total by State:
States											
AK	614				52		30				696
AL	2,730	211	1,904	503	214	1,463	610	1,174	1,807	28	10,644
AR	49	70	251	441	85	128	194	429	533	0	2,180
AZ	6,582	120	275	206	69	94	33	443	274	50	8,146
CA	16,380	2,195	3,498	27,774	6,175	4,470	7,241	4,970	49,396	2,056	124,155
CO	2,092	394	587	5,622	1,830	0	87	623	503	483	12,221
CT	763	36	592	622	2,408	339	10	584	525	51	5,930
DC	5,660	107	48	576	527	224	41	844	405	33	8,465
DE	932	266	377	5,158	1,481	0	507	41	16,176	570	25,508
FL	2,723	441	403	462	151	716	881	1,237	303	232	7,549
GA	7,024	1,037	1,940	1,214	10,226	3,334	166	1,269	5,043	76	31,329
HI	5	51	50	5	478	0	0	38	31	0	658
IA	1,337	2,180	2,229	5,646	43,809	23	919	974	5,163	512	62,792
ID	238	16	40	0	0	0	49	0	34	2	379
IL	4,513	975	3,074	2,788	3,046	652	761	1,792	5,051	300	22,952
IN	2,810	329	1,983	750	1,862	709	364	927	2,257	135	12,126
KS	1,350	565	1,533	670	813	220	112	138	667	0	6,068
KY	2,309	56	177	254	372	63	26	140	535	0	3,932
LA	1,029	237	380	4	240	2,561	359	83	1,032	7	5,932
MA	6,258	106	3,287	22,436	9,229	5,211	714	5,286	14,857	752	68,136
MD	8,462	87	810	19,849	5,061	6,304	1,725	1,656	7,465	377	51,796
ME	716	0	0	48	0	0	340	31	234	3	1,372
MI	6,493	463	6,784	15,291	913	3,361	286	2,912	4,482	563	41,548
MN	1,281	1,734	3,072	10,814	104	216	1,127	3,808	5,691	1,067	28,914
MO	1,440	1,874	2,413	3,978	8,126	108	450	1,813	3,373	66	23,641
MS	171	5	70	118	7	84	46	76	442	0	1,019
MT	26	9	0	28	532	5	125	0	313	84	1,122
NC	1,989	1,138	1,699	8,731	1,098	2,085	4,772	3,074	4,237	593	29,416
ND	36	149	21	3	2		81	24	952	443	1,711
NE	1,835	649	1,032	1,302	7,317	89	261	9,132	815	46	22,478
NH	394	23	9	1	265	16	0	256	7	31	1,002
NJ	3,692	135	6,427	13,668	2,193	4,461	42	1,284	8,260	0	40,162
NM	341	21	228	134	88	295	0	66	972	0	2,145
NV	1,947	0	121	163	0	0	9	0	188	380	2,808
NY	8,074	2,325	4,595	14,708	43,974	2,230	869	1,422	13,665	245	92,107
OH	4,159	1,113	6,052	13,438	3,884	2,151	192	4,304	13,827	137	49,257
OK	646	93	657	533	3	113	173	21	451	52	2,742
OR	576	46	37	1,022	101	2,369	645	708	416	299	6,219
PA	3,997	1,743	5,627	11,557	2,388	4,183	1,364	2,544	38,618	692	72,713
PR	971	0	0	0	287	3,066	0	36	14	0	4,374
RI	943	12	0	61	247	25	50	201	322	58	1,919
SC	635	238	199	248	55	471	44	289	617	0	2,796
SD	356	21	14	7	94	17	624	125	307	6	1,571
TN	2,179	120	466	161	990	171	239	1,440	732	11	6,509
TX	12,261	585	1,455	8,506	3,826	4,389	3,380	3,080	17,189	952	55,623

Appendix 1



ANIMALS USED IN RESEARCH

05-AUG-2008

PAIN TYPE: ALL

Page: 2

CUSTOMER TYPE: ALL

FISCAL YEAR: 2007

Species:	All Other Covered Species	Cats	Dogs	Guinea Pigs	Hamsters	Nonhuman Primates	Other Farm Animals	Pig	Rabbits	Sheep	Total by State:
States											
UT	1,418	107	291	423	4,190	16	14	214	791	198	7,662
VA	2,109	80	283	360	321	94	125	4,389	1,212	37	9,010
VT	213	18	17	450	0	0	12	89	137	1,280	2,216
WA	2,221	162	795	4,687	359	4,596	248	795	1,949	26	15,838
WI	955	300	6,192	1,820	2,972	8,859	722	834	3,914	203	26,771
WV	230	40	20	14	27	9	0	0	307	81	728
WY	345	5	23	3	7	0	37	0	20	23	463
Species Total:	136,509	22,687	72,037	207,257	172,498	69,990	31,106	65,615	236,511	13,240	1,027,450
Report Total:											1,027,450

Appendix 2



ANIMALS USED IN RESEARCH

05-AUG-2008

PAIN TYPE: No Pain, No Drugs

Page: 1

CUSTOMER TYPE: ALL

FISCAL YEAR: 2007

Species:	All Other Covered Species	Cats	Dogs	Guinea Pigs	Hamsters	Nonhuman Primates	Other Farm Animals	Pig	Rabbits	Sheep	Total by State:
States											
AK	206				52		30				288
AL	1,458	122	861	199	128	385	522	707	464	18	4,864
AR	49	70	235	227	0	32	0	28	259	0	900
AZ	6,533	15	30	128	63	63	25	107	72	0	7,036
CA	8,429	1,286	1,501	18,729	855	734	6,798	428	35,729	131	74,620
CO	1,418	217	338	2,650	688	0	31	99	202	6	5,649
CT	452	0	117	243	596	185	0	3	191	40	1,827
DC	5,354	14	0	182	151	177	0	4	93	0	5,975
DE	19	266	147	2,465	411	0	427	0	11,404	24	15,163
FL	1,591	66	198	4	26	473	673	52	14	4	3,101
GA	3,942	386	1,361	911	3,736	1,526	65	2	1,201	0	13,130
HI	5	51	50	5	5	0	0	0	29	0	145
IA	978	1,292	1,181	4,296	27,209	10	889	585	388	370	37,198
ID	3	1	4	0	0	0	24	0	1	2	35
IL	1,252	427	1,423	1,344	47	137	638	926	2,143	282	8,619
IN	1,955	83	621	71	152	248	364	96	911	4	4,505
KS	1,296	535	1,111	99	118	62	76	16	411	0	3,724
KY	5	12	16	147	0	0	0	2	10	0	192
LA	155	10	29	2	115	1,022	204	5	40	2	1,584
MA	4,059	11	2,117	17,564	5,639	3,241	6	2,114	7,134	158	42,043
MD	8,120	19	437	4,065	2,186	3,682	1,329	927	4,631	40	25,436
ME	654	0	0	10	0	0	338	0	234	3	1,239
MI	5,716	283	5,622	6,547	538	2,928	247	780	2,601	188	25,450
MN	597	745	1,100	131	21	5	861	543	608	346	4,957
MO	1,176	1,450	1,538	2,599	1,456	25	379	723	344	41	9,731
MS	159	0	1	79	0	21	14	40	20	0	334
MT	0	6	0	0	4	0	97	0	290	0	397
NC	970	301	897	6,962	206	530	247	185	2,504	23	12,825
ND	36	60	1	3	2		81		16	307	506
NE	1,627	552	885	754	4,087	0	75	8,972	393	30	17,375
NH	309	5	0	0	131	0	0	7	0	0	452
NJ	813	79	4,118	6,451	688	2,394	33	106	4,894	0	19,576
NM	290	0	76	0	40	1	0	0	309	0	716
NV	1,947	0	44	163	0	0	9	0	188	132	2,483
NY	4,533	1,162	2,174	6,458	33,077	1,291	357	44	2,228	4	51,328
OH	1,371	548	4,213	10,541	1,092	1,448	52	272	9,094	2	28,633
OK	416	61	147	408	0	30	5	0	199	52	1,318
OR	418	20	24	2	7	1,204	642	110	9	100	2,536
PA	3,069	1,015	3,682	6,737	985	2,473	1,219	377	31,744	378	51,679
PR	719	0	0	0	0	2,873	0	0	0	0	3,592
RI	623	0	0	27	33	0	38	39	35	19	814
SC	613	7	3	33	27	0	44	0	154	0	881
SD	50	21	14	7	94	6	216	1	5	0	414
TN	905	25	70	35	752	0	112	262	111	0	2,272
TX	8,839	388	650	3,646	874	3,549	1,083	234	6,215	197	25,675

Appendix 2



ANIMALS USED IN RESEARCH

05-AUG-2008

PAIN TYPE: No Pain, No Drugs

Page: 2

CUSTOMER TYPE: ALL

FISCAL YEAR: 2007

Species:	All Other Covered Species	Cats	Dogs	Guinea Pigs	Hamsters	Nonhuman Primates	Other Farm Animals	Pig	Rabbits	Sheep	Total by State:
States											
UT	1,104	8	49	104	742	0	0	32	295	0	2,334
VA	1,957	11	19	29	62	34	40	106	155	8	2,421
VT	204	0	0	362	0	0	5	0	72	420	1,063
WA	1,618	103	239	3,949	50	2,983	145	4	1,019	3	10,113
WI	583	150	4,553	1,721	1,920	7,067	386	356	3,025	51	19,812
WV	214	0	0	14	19	0	0	0	82	0	329
WY	145	0	0	3	7	0	13	0	3	11	182
Species Total:	88,954	11,883	41,896	111,106	89,091	40,839	18,839	19,294	132,173	3,396	
Report Total:											557,471

Appendix 3



ANIMALS USED IN RESEARCH

PAIN TYPE: With Pain, No Drugs

05-AUG-2008

CUSTOMER TYPE: ALL

Page: 1

FISCAL YEAR: 2007

Species:	All Other Covered Species	Cats	Dogs	Guinea Pigs	Hamsters	Nonhuman Primates	Other Farm Animals	Pig	Rabbits	Sheep	Total by State:
States											
AK						0					0
AL	0	0	0	36	0	0	70	0	0	0	106
AR	0	0	0	0	0	0	0	0	0	0	0
AZ	0	0	0	0	0	0	0	22	0	0	22
CA	351	6	61	2,175	25	39	0	78	233	28	2,996
CO	232	0	0	1,975	686	0	0	15	30	36	2,974
CT	6	0	33	220	1,215	42	0	0	0	0	1,516
DC	53	0	0	172	3	15	0	2	0	17	262
DE	10	0	88	1,010	483	0	0	0	764	0	2,355
FL	88	0	0	0	48	2	0	0	52	0	190
GA	139	9	0	0	1,572	19	24	0	1,982	8	3,753
HI	0	0	0	0	0	0	0	0	0	0	0
IA	11	253	131	627	15,524	0	0	0	0	0	16,546
ID	0	0	0	0	0	0	0	0	4	0	4
IL	57	0	0	526	89	33	0	135	104	0	944
IN	95	0	47	0	0	2	0	0	2	0	146
KS	0	0	0	0	585	0	0	0	8	0	593
KY	0	0	0	0	0	0	0	0	0	0	0
LA	145	0	0	0	0	0	3	0	40	0	188
MA	306	0	130	776	379	18	10	205	834	0	2,658
MD	89	0	3	4,858	351	335	0	66	638	0	6,340
ME	41	0	0	0	0	0	0	0	0	0	41
MI	0	0	10	7,248	0	61	0	0	3	24	7,346
MN	199	0	24	87	0	3	0	0	6	0	319
MO	0	0	0	0	1,861	0	0	0	30	0	1,891
MS	0	0	0	0	0	0	0	0	0	0	0
MT	0	0	0	0	0	0	0	0	0	0	0
NC	0	0	0	550	0	34	0	1	54	0	639
NE	63	23	4	51	1,186	0	0	0	0	0	1,327
NH	0	0	0	0	0	0	0	0	0	0	0
NJ	547	0	361	462	136	224	0	0	245	0	1,975
NM	17	0	0	130	0	142	0	0	329	0	618
NV	0	0	0	0	0	0	0	0	0	49	49
NY	424	360	440	1,455	7,396	55	0	0	187	0	10,317
OH	125	0	13	1,077	157	180	0	0	383	0	1,935
OK	0	0	0	0	0	0	0	0	0	0	0
OR	0	0	0	42	0	0	0	0	6	0	48
PA	37	19	137	956	25	86	0	704	407	0	2,371
PR	0	0	0	0	0	0	0	0	0	0	0
RI	0	0	0	0	0	0	0	0	0	0	0
SC	0	0	0	0	0	0	0	0	0	0	0
SD	0	0	0	0	0	0	0	112	0	0	112
TN	355	0	2	0	0	0	0	34	42	0	433
TX	220	0	2	1,206	742	28	149	0	492	3	2,842
UT	0	0	0	245	2,991	0	0	0	0	0	3,236

Appendix 3



ANIMALS USED IN RESEARCH

PAIN TYPE: With Pain, No Drugs

05-AUG-2008

CUSTOMER TYPE: ALL

Page: 2

FISCAL YEAR: 2007

Species:	All Other Covered Species	Cats	Dogs	Guinea Pigs	Hamsters	Nonhuman Primates	Other Farm Animals	Pig	Rabbits	Sheep	Total by State:
States											
VA	0	0	9	0	231	21	0	0	0	0	261
VT	0	0	0	88	0	0	0	0	0	0	88
WA	0	0	0	191	0	4	0	0	1	0	196
WI	18	0	0	0	0	0	0	0	0	0	18
WV	0	0	0	0	0	0	0	0	111	0	111
WY	0	0	0	0	0	0	0	0	0	0	0
Species Total:	3,628	670	1,495	26,163	35,685	1,343	256	1,374	6,987	165	
Report Total:											77,766

Appendix 4



ANIMALS USED IN RESEARCH

PAIN TYPE: With Pain, With Drugs

05-AUG-2008

CUSTOMER TYPE: ALL

Page: 1

FISCAL YEAR: 2007

Species:	All Other Covered Species	Cats	Dogs	Guinea Pigs	Hamsters	Nonhuman Primates	Other Farm Animals	Pig	Rabbits	Sheep	Total by State:
States											
AK	408				0						408
AL	1,272	89	1,043	268	86	1,078	18	467	1,343	10	5,674
AR	0	0	16	214	85	96	194	401	274	0	1,280
AZ	49	105	245	78	6	31	8	314	202	50	1,088
CA	7,600	903	1,936	6,870	5,295	3,697	443	4,464	13,434	1,897	46,539
CO	442	177	249	997	456	0	56	509	271	441	3,598
CT	305	36	442	159	597	112	10	581	334	11	2,587
DC	253	93	48	222	373	32	41	838	312	16	2,228
DE	903	0	142	1,683	587	0	80	41	4,008	546	7,990
FL	1,044	375	205	458	77	241	208	1,185	237	228	4,258
GA	2,943	642	579	303	4,918	1,789	77	1,267	1,860	68	14,446
HI	0	0	0	0	473	0	0	38	2	0	513
IA	348	635	917	723	1,076	13	30	389	4,775	142	9,048
ID	235	15	36	0	0	0	25	0	29	0	340
IL	3,204	548	1,651	918	2,910	482	123	731	2,804	18	13,389
IN	760	246	1,315	679	1,710	459	0	831	1,344	131	7,475
KS	54	30	422	571	110	158	36	122	248	0	1,751
KY	2,304	44	161	107	372	63	26	138	525	0	3,740
LA	729	227	351	2	125	1,539	152	78	952	5	4,160
MA	1,893	95	1,040	4,096	3,211	1,952	698	2,967	6,889	594	23,435
MD	253	68	370	10,926	2,524	2,287	396	663	2,196	337	20,020
ME	21	0	0	38	0	0	2	31	0	0	92
MI	777	180	1,152	1,496	375	372	39	2,132	1,878	351	8,752
MN	485	989	1,948	10,596	83	208	266	3,265	5,077	721	23,638
MO	264	424	875	1,379	4,809	83	71	1,090	2,999	25	12,019
MS	12	5	69	39	7	63	32	36	422	0	685
MT	26	3	0	28	528	5	28	0	23	84	725
NC	1,019	837	802	1,219	892	1,521	4,525	2,888	1,679	570	15,952
ND		89	20					24	936	136	1,205
NE	145	74	143	497	2,044	89	186	160	422	16	3,776
NH	85	18	9	1	134	16	0	249	7	31	550
NJ	2,332	56	1,948	6,755	1,369	1,843	9	1,178	3,121	0	18,611
NM	34	21	152	4	48	152	0	66	334	0	811
NV	0	0	77	0	0	0	0	0	0	199	276
NY	3,117	803	1,981	6,795	3,501	884	512	1,378	11,250	241	30,462
OH	2,663	565	1,826	1,820	2,635	523	140	4,032	4,350	135	18,689
OK	230	32	510	125	3	83	168	21	252	0	1,424
OR	158	26	13	978	94	1,165	3	598	401	199	3,635
PA	891	709	1,808	3,864	1,378	1,624	145	1,463	6,467	314	18,663
PR	252	0	0	0	287	193	0	36	14	0	782
RI	320	12	0	34	214	25	12	162	287	39	1,105
SC	22	231	196	215	28	471	0	289	463	0	1,915
SD	306	0	0	0	0	11	408	12	302	6	1,045
TN	919	95	394	126	238	171	127	1,144	579	11	3,804
TX	3,202	197	803	3,654	2,210	812	2,148	2,846	10,482	752	27,106

Appendix 4



ANIMALS USED IN RESEARCH

05-AUG-2008

PAIN TYPE: With Pain, With Drugs

Page: 2

CUSTOMER TYPE: ALL

FISCAL YEAR: 2007

Species:	All Other Covered Species	Cats	Dogs	Guinea Pigs	Hamsters	Nonhuman Primates	Other Farm Animals	Pig	Rabbits	Sheep	Total by State:
States											
UT	314	99	242	74	457	16	14	182	496	198	2,092
VA	152	69	255	331	28	39	85	4,283	1,057	29	6,328
VT	9	18	17	0	0	0	7	89	65	860	1,065
WA	603	59	556	547	309	1,609	103	791	929	23	5,529
WI	354	150	1,639	99	1,052	1,792	336	478	889	152	6,941
WV	16	40	20	0	8	9	0	0	114	81	288
WY	200	5	23	0	0	0	24	0	17	12	281
Species Total:	43,927	10,134	28,646	69,988	47,722	27,808	12,011	44,947	97,351	9,679	392,213
Report Total:											392,213

Appendix 5

Number of Animals Used in Research From the First Reporting Year (FY 1973) to the Present

FY	Dogs	Cats	Primates	Guinea Pigs	Hamsters	Rabbits	Farm Animals	Other covered animals	Totals
1973	195,157	66,165	42,298	408,970	454,986	447,570	Not Reported	38,169	1,653,345
1974	199,204	74,259	51,253	430,439	430,766	425,585	"	81,021	1,692,527
1975	154,489	51,439	36,202	436,446	456,031	448,530	"	42,523	1,625,660
1976	210,330	70,468	50,115	486,310	503,590	527,551	"	73,736	1,922,100
1977	176,430	62,311	53,116	348,741	393,533	439,003	"	46,535	1,519,669
1978	197,010	65,929	57,009	419,341	414,394	475,162	"	58,356	1,687,201
1979	211,104	69,103	59,359	457,134	419,504	539,594	"	76,247	1,832,045
1980	188,783	68,482	56,024	422,390	405,826	471,297	"	49,102	1,661,904
1981	188,649	58,090	57,515	432,632	397,522	473,922	"	50,111	1,658,441
1982	161,396	49,923	46,388	459,246	337,790	453,506	"	69,043	1,577,292
1983	174,542	53,344	54,926	485,048	337,023	466,810	"	108,549	1,680,242
1984	201,936	56,910	55,338	561,184	437,123	529,101	"	232,541	2,074,133
1985	194,905	59,211	57,271	598,903	414,460	544,621	"	284,416	2,153,787
1986	176,141	54,125	48,540	462,699	370,655	521,773	"	144,470	1,778,403
1987	180,169	50,145	61,392	538,998	416,002	554,385	"	168,032	1,969,123
1988	140,471	42,271	51,641	431,457	331,945	459,254	"	178,249	1,635,288
1989	156,443	50,812	51,688	481,712	389,042	471,037	"	153,722	1,754,456
1990	109,992	33,700	47,177	352,627	311,068	399,264	66,702	257,569	1,578,099
1991	107,908	34,613	42,620	378,582	304,207	396,046	214,759	363,685	1,842,420
1992	124,161	38,592	55,105	375,063	396,585	431,432	210,936	529,308	2,134,182
1993	106,191	33,991	49,561	392,138	318,268	426,501	165,416	212,309	1,704,505
1994	101,090	32,610	55,113	360,184	298,934	393,751	180,667	202,300	1,624,649
1995	89,420	29,569	50,206	333,379	248,402	354,076	163,985	126,426	1,395,463
1996	82,420	26,035	52,327	299,011	246,415	338,574	154,344	146,579	1,345,739
1997	75,429	26,091	56,381	272,797	217,079	309,322	159,742	150,987	1,267,828
1998	76,071	24,712	57,377	261,305	206,243	287,523	157,620	142,963	1,213,814
1999	70,541	23,238	54,927	266,129	201,593	280,222	155,409	165,939	1,217,998
2000	69,516	25,560	57,518	266,873	174,146	258,754	159,711	166,429	1,286,412
2001	70,082	22,755	49,382	256,193	167,231	267,351	161,658	242,251	1,236,903
2002	68,253	24,222	52,279	245,576	180,000	243,838	143,061	180,351	1,137,580
2003	67,875	25,997	53,586	260,809	177,991	236,250	166,135	199,826	1,188,469
2004	64,932	23,640	54,998	244,104	175,721	261,573	105,678	171,312	1,101,958

Appendix 5

Number of Animals Used in Research From FY 2005 - 2007

FY	Dogs	Cats	Primates	Guinea Pigs	Hamsters	Rabbits	Farm Animals	Other Covered Animals	Totals
2005	66,610	22,921	57,531	221,286	176,988	245,786	155,004	231,440	1,177,566
2006	66,314	21,637	62,315	204,809	167,571	239,720	105,780	144,567	1,012,713
2007	72,037	22,687	69,990	207,257	172,498	236,511	109,961	136,509	1,027,450

USDA Horse Protection Program DESIGNATED QUALIFIED PERSON ANNUAL SHOW REPORT <i>As Of December 31 2007</i>				
show start Date	Show Name & Location	Affiliation	DQP Number Inspected	DQP Tickets
1/6/07	WHOA Academy Show, Murfreesboro, TN	NHSC	89	
1/20/07	Lakeside Christian Academy Show (1), Morehead, KY	HPC	42	1 UNILATERAL SORE
1/27/07	Montverde Academy Winter Fun Show, Montverde, FL	NHSC	157	
1/27/07	TWHBEA Winter Tournament Academy Show (1), Murfreesboro, TN	HPC	74	1 bilateral sore
1/31/07	2007 Kentucky After Christmas Sale , Lexington, KY	NHSC	580	5 BILATERAL SORE
				4 FOREIGN SUBSTANCE
				6 SCAR RULE
				2 UNACCEPTABLE
				2 UNILATERAL SORE
2/10/07	TWHBEA Academy Show (2), Murfreesboro, TN	HPC	66	
2/12/07	RIDIN HIGH BENEFIT SHOW, MORRISTOWN, TN	NHSC	149	
2/17/07	2007 Florida State Fair, Tampa, FL	NHSC	335	2 SCAR RULE
2/17/07	2007 WHOA Academy (3), Shelbyville, TN	NHSC	62	
2/17/07	Waterfall Colt Preview, Shelbyville, TN	NHSC	55	
2/19/07	Ga. State Championship, Conyers, GA	NHSC	75	
2/20/07	Plantation St. Championship, Conyers, GA	NHSC	64	
2/23/07	The Marshall Lions Club Charity, Marshall, TX	NHSC	228	2 UNILATERAL SORE
2/24/07	Sand Creek Breeders Classic, Shelbyville, TN	NHSC	46	
2/24/07	TWHBEA Academy Show (3), Murfreesboro, TN	HPC	74	
3/2/07	2007 Louisiana Jubilee Show, West Monroe, LA	NHSC	110	1 BILATERAL SORE
				4 UNACCEPTABLE
3/3/07	2007 WHOA Academy (1), Bowling Green, KY	NHSC	79	
3/3/07	Florida Walking and Racking Horse Assoc., Apopka, FL	NHSC	175	
3/3/07	Lakeside Christian Academy Show, Morehead, KY	HPC	113	
3/3/07	The Carolina Walkers' Camden Classic, Camden, NC	NWHA	478	
3/3/07	Hairy Horse Show, Hemit, CA	fosh	345	
3/9/07	3rd Annual South Alabama Charity Horse Show, Andalusia, AL	NHSC	220	1 BILATERAL SORE
				2 FOREIGN SUBSTANCE
				2 ILLEGAL CHAIN
				1 SCURFFING
				4 UNACCEPTABLE
				1 UNILATERAL SORE
3/9/07	Southeast Mountain Championship series, North Wilson, NC	KWHA	172	
3/10/07	Play Day, Yucaipa, CA	NHSC	32	
3/10/07	SSRWSHA Sumpter Show, Buschnell, FL	NHSC	125	
3/10/07	South Jones 17th Annual Walking and Racking Horse Show, Laurel, MS	NHSC	104	3 UNACCEPTABLE
3/10/07	Southeast Mountain series State Championship, North Wilson, NC	KWHA	249	
3/10/07	WHOA Academy Program, Shelbyville, TN	NHSC	94	
3/15/07	Carousel Charity Horse Show, Scottsdale, AZ.	fosh	273	
3/17/07	Prater Farms Colt Preview, McMinnville, TN	NHSC	30	
3/17/07	South Carolina State Racking & Walking HS, Green Sea, SC	KWHA	146	

Appendix 6

USDA Horse Protection Program DESIGNATED QUALIFIED PERSON ANNUAL SHOW REPORT <i>As Of December 31 2007</i>				
show start Date	Show Name & Location	Affiliation	DQP Number Inspected	DQP Tickets
3/21/07	39th Annual National Walking Horse Trainers Show, Shelbyville, TN	NHSC	587	1 BILATERAL SORE
				4 FOREIGN SUBSTANCE
				14 SCAR RULE
				4 UNACCEPTABLE
				1 UNILATERAL SORE
3/23/07	Chunns Grove Horse Club Spring Show, Asheville, NC	KWHA	172	1 SCAR RULE
3/24/07	16th Annual Trainers Show Sale, Shelbyville, TN	NHSC	113	1 SCURFFING
				1 UNACCEPTABLE
3/24/07	2007 WHOA Academy Championship, Shelbyville, TN	NHSC	88	
3/24/07	Chunns Cove Horse Club, Ashville, NC	KWHA	132	
3/24/07	Eastern Classic All Breed Sale, Lexington, VA	NHSC	72	
3/24/07	Sand Creek Sales Trainers Show Sale, Shelbyville, TN	NHSC	70	
3/24/07	Stars Citrus Classic & Cowboys and Roses Renegade Ranch Show, Jacksonville, FL	NWHA	397	
3/30/07	Cullman Red Cross Horse Show, Cullman, AL	NHSC	238	1 FOREIGN SUBSTANCE
				2 SCAR RULE
3/30/07	East Texas Gaited Classic, Nacogdoches, TX	NWHA	311	
3/31/07	BEDFORD COUNTY 4-H HORSE SHOW, SHELBYVILLE, TN	NHSC	190	1 SCAR RULE
				1 UNILATERAL SORE
3/31/07	Desert Classic, Indio, CA	NHSC	169	
3/31/07	Florida Walking and Racking Horse Association (FWRHA) Horse Show (1), Ocala, FL	NHSC	156	
3/31/07	Sixth Annual Jonesville Charity Walking and Racking Horse Show, Jonesville, LA	NHSC	62	
3/31/07	TWHBEA Academy Show (4), Shelbyville, TN	HPC	87	
3/31/07	TWHBEA Championship Academy Show (1), Shelbyville, TN	HPC	17	
4/6/07	19th Annual Murfreesboro Kiwanis Club/ WHOA, MURFREESBORO, TN	NHSC	95	
4/6/07	Heritage Horse Sale, Mt. Sterling, KY	KWHA	141	
4/6/07	Morehand State University Equestrian Club, Morehand, KY	KWHA	168	
4/6/07	WHOA/Kiwanis Club, Murfreesboro, TN	NHSC	95	
4/6/07	nwwhta fiesta #1	wiwha	509	
4/7/07	34th Annual Upper Cumberland Horse Show, Cookeville, TN	NHSC	65	1 BILATERAL SORE
				2 SCAR RULE
4/7/07	NCWHA Spring Show, Taylorsville, NC	KWHA	114	
4/7/07	Open Consignment Spotted Sale, Savannah, TN	SSHBEA	61	
4/7/07	United Racking & Walking Spring Show, White Pine, TN	NHSC	134	1 SCAR RULE
4/12/07	Mississippi Charity Horse Show, Jackson, MS	NHSC	220	1 FOREIGN SUBSTANCE
				1 ILLEGAL CHAIN
				6 SCAR RULE
				2 UNILATERAL SORE
4/13/07	17th Annual Spring 2007 South Carolina Walking Horse Ladies Auxiliary Horse Show, Newberry, SC	KWHA	392	1 OTHER
4/13/07	KMSHA/SMHA Annual Spring Jubilee, Lexington, KY	NWHA	529	
4/14/07	21st Annual Fairview Lion Club Horse Show, Cullman, AL	NHSC	114	2 UNILATERAL SORE
4/14/07	Dogwood Jubilee, Pilot, NC	NWHA	166	

USDA Horse Protection Program DESIGNATED QUALIFIED PERSON ANNUAL SHOW REPORT <i>As Of December 31 2007</i>				
show start Date	Show Name & Location	Affiliation	DQP Number Inspected	DQP Tickets
4/14/07	Florida Walking and Racking Horse Association (FWRHA) Horse Show (2), Montverde, FL	NHSC	161	1 SCAR RULE
4/14/07	Mississippi Spotted Saddle Horse, Pontotoc, MS	SSHBEA	150	
4/14/07	Oak Mountain Classic, Carlton, GA	NHSC	92	
4/14/07	PPWHANC Spring Show, Oxford, NC	NWHA	229	
4/14/07	TWHBEA Championship Academy Show (2), Shelbyville, TN	HPC	116	
4/19/07	Ladies Auxiliary Spring Jubilee Charity Horse Show, Harrodsburg, KY	KWHA	192	1 ILLEGAL CHAIN
				1 ILLEGAL SHOEING
				1 OTHER
4/19/07	Monterey Springfest Horse Show, Monterey, CA	HPC	176	
4/20/07	2007 MATWHA Classic Horse Show, Lexington, VA	NWHA	714	
4/20/07	MOWHA Spring Fling, Hilliard, OH	NWHA	336	1 UNILATERAL SORE
4/20/07	Texas Spring Classic Horse Show, Nacogdoches, TX	HPC	312	1 BILATERAL SORE
				1 verbal abuse
4/21/07	16th Annual Clover Leaf Classic, Dowson, GA	NHSC	189	1 SCAR RULE
4/21/07	5th Reeltown Liberty City Lions Club Show, Reeltown, AL	NHSC	191	1 BILATERAL SORE
				1 HIGH BAND
				1 ILLEGAL SHOEING
				1 UNACCEPTABLE
4/21/07	MWHTA Spring fun show, Bolivar, mo	hawha	64	
4/21/07	Sweet Water Lions Club Horse Show, Sweet Water, TN	KWHA	197	2 OTHER
				1 SCAR RULE
4/21/07	WHOA Schooling Show, Shelbyville, TN	NHSC	1	
4/25/07	2007 Racking Horse Spring Celebration, Decatur, AL	NHSC	399	1 BILATERAL SORE
				1 FOREIGN SUBSTANCE
				10 SCAR RULE
				1 UNACCEPTABLE
				1 UNILATERAL SORE
4/25/07	36th Annual Racking Horse Spring Celebration, Decatur, AL	NHSC	93	1 UNACCEPTABLE
4/26/07	Gulf Coast Charity Horse Show, Panama City, FL	NHSC	388	1 ILLEGAL CHAIN
				6 SCAR RULE
				1 UNACCEPTABLE
				1 UNILATERAL SORE
4/27/07	2007 WCWHTA Show, Pomona, CA	NHSC	141	
4/27/07	nwwhta fiesta #2	wiwha	451	
4/28/07	2007 Racking Horse Spring Sale, Decatur, AL	NHSC	28	
4/28/07	Blue Denim Premier Championship, Danville, IN	NWHA	257	1 UNRULY/FRACTIOUS
4/28/07	Madison Co. Horse Show, Richmond, KY	KWHA	280	1 OTHER
				2 SCAR RULE
				3 UNILATERAL SORE
4/28/07	Manchester F/D Annual Spring Show, Manchester, Ohio	KWHA	139	
4/28/07	SIXTH ANNUAL SOUTH CENTRAL TENNESSEE WALKING/RACKING SHOW, Chuckey, TN	KWHA	179	2 ILLEGAL CHAIN

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USDA Horse Protection Program DESIGNATED QUALIFIED PERSON ANNUAL SHOW REPORT <i>As Of December 31 2007</i>				
show start Date	Show Name & Location	Affiliation	DQP Number Inspected	DQP Tickets
				1 OTHER
				1 UNILATERAL SORE
4/28/07	Spring Fever Horse Sale, mansfield, OH	NHSC	30	
4/28/07	Sumter County WH Championship, Sumter, SC	NHSC	160	1 UNACCEPTABLE
5/3/07	22nd Annual Spring Show, Shelbyville, TN	SSHBEA	488	1 BAD IMAGE
5/4/07	Derby Classic HS, Harrodsburg, KY	KWHA	210	1 BILATERAL SORE
				1 FOREIGN SUBSTANCE
				2 OTHER
				4 SCAR RULE
				4 UNILATERAL SORE
5/4/07	cbwha spring show, cedar rapids,ia	hawha	155	
5/4/07	Walking In The Park, Columbia, TN	NHSC	235	2 FOREIGN SUBSTANCE
				1 SCAR RULE
5/5/07	13th Annual Wise County Classic, Clintwood, VA	KWHA	187	
5/5/07	2007 Arkansas Cup, Fayetteville, AR	MFTHBA	129	
5/5/07	22nd Annual Manchester Lions Club, Manchester, TN	NHSC	224	2 SCAR RULE
				2 UNILATERAL SORE
				2 UNRULY/FRACTIOUS
5/5/07	FAITH HAVEN WALKING HORSE SHOW, VERONA, MS	NHSC	223	2 SCAR RULE
5/5/07	Hasler's Double D Spring Challenge Show (I), Farmers City, IL	HPC	99	
5/5/07	Keystone Classic, Quentin , PA	NWHA	251	
5/5/07	May Horse Sale, Savannah, TN	SSHBEA	89	
5/5/07	Spring Fling Horse Show, Fowlerville, MI	NWHA	291	
5/5/07	WISCONSIN SPRING WARM UP, MILWAUKEE, WI	NHSC	276	1 FAIL TO REPORT TO DQP
5/5/07	43rd Walton Co. Horse Show, Monroe, GA	NHSC	118	1 FOREIGN SUBSTANCE
5/5/07	NPWHA Spring Fling, Pomona, Ca	fosh	566	
5/10/07	BIG D CHARITY HORSE SHOW, IRVING, TX	NHSC	309	1 UNILATERAL SORE
5/10/07	SPRING FLING ALL BREED HORSE CLASSIC, SOUTH JORDAN, UT	NHSC	125	
5/11/07	3rd Annual Shelbyville Central High School Band, Shelbyville, TN	NHSC	195	2 UNILATERAL SORE
5/11/07	70th Annual West TN Strawberry Festival Horse Show, Humboldt, TN	NHSC	125	2 UNACCEPTABLE
5/11/07	Greensburg Aux. HS, Greensburg, KY	KWHA	158	4 FAILURE TO REPORT TO DQP
				1 OTHER
5/11/07	William Way Memorial HS, Tuscaloosa, AL	NHSC	201	1 FOREIGN SUBSTANCE
				1 OPEN LESION
				3 SCAR RULE
5/11-12/07	TRI-STATE HORSE SHOW, CLEVELAND, TN	NHSC	224	2 UNILATERAL
				1 BAD IMAGE
				1 UNRULY
5/12/07	50TH ANNUAL GALLATIN LIONS CLUB CHARITY HORSE SHOW, GALLATIN, TN	NHSC	217	1 SCAR RULE
				1 UNILATERAL SORE
5/12/07	Christ Central School HS, Pikeville, KY	KWHA	126	

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show start Date	Show Name & Location	Affiliation	DQP Number Inspected	DQP Tickets
5/12/07	Florida Walking and Racking Horse Association (FWRHA) Horse Show (3), CLARCONA, FL	NHSC	166	
5/12/07	10TH ANNUAL BILLIE LANE HORSE SHOW, EDISON, GA	NHSC	198	2 UNACCEPTABLE
5/12/07	NSSHA BETA CLUB, WOODBURY, TN	NHSC	81	
5/12/07	Nettleton 44th Annual Walking Horse Show, Nettleton, MS	NHSC	158	3 UNACCEPTABLE
5/12/07	North Middletown HS, North Middletown, KY	KWHA	216	1 OTHER
5/12/07	Shenandoah Valley Horseman's Assoc. 2007 Spring, Bridgewater, VA	NHSC	135	
5/12/07	Southern West Virginia Horseman's, Denville, WV	KWHA	31	
5/17/07	Mid-America charity show, jackson, mo	hawha	174	1 FOREIGN SUBSTANCE
				1 unilateral sore
				1 technical violation
5/18/07	Buckeye Western Horse Assoc. (BWHA) Spring Fling, Jacksonville, OH	NHSC	342	
5/18/07	Gaston County Shrine Club, Dallas, NC	KWHA	162	
5/18/07	Mid-America 2 Yr. Old Futurity, Springfield, MO	MFTHBA	201	
5/18/07	National Spotted Saddle H. Assoc. (NSSHA) 28th Annual Spring, Murfreesboro, TN	NHSC	129	5 UNILATERAL SORE
5/18/07	Petersburg Lions Club Horse Show, Petersburg, TN	NHSC	235	
5/18/07	Smoky Mountain Classic, Harriman, TN	NHSC	234	2 UNILATERAL SORE
5/19/07	16th Annual Shelby Co. Heart Of Dixie Horse Show, Columbiana, AL	NHSC	131	1 UNILATERAL SORE
5/19/07	Central Racking Horse Classic, South Liberty, KY	KWHA	141	1 UNILATERAL SORE
5/19/07	Clark Co. Saddle Club, Winchester, KY	KWHA	215	1 BAD IMAGE
				1 ILLEGAL CHAIN
				1 UNACCEPTABLE
5/19/07	Florida Working Plantation Competition, Buschnell, FL	NHSC	75	
5/19/07	Holly Springs Charity Walking Horse Classic, Holly Spring, MS	NHSC	156	1 ILLEGAL CHAIN
				1 SCAR RULE
				1 UNACCEPTABLE
5/19/07	Lexington County Horse Show, South Congaree, SC	NHSC	106	
5/19/07	Madison Co. Horse Show, Richmond, KY	KWHA	122	
5/19/07	N.C. WHOA SHOW, DALLAS, NC	NHSC	44	1 UNACCEPTABLE
5/19/07	NCWHLA WALKING FOR THE CHILDREN, DALLAS, NC	KWHA	174	
5/19/07	Newport Lions Club Show, Newport, TN	KWHA	134	
5/19/07	RSVED ANNUAL HORSE SHOW, JAVA, VA	KWHA	282	1 UNRULY/FRACTIOUS
5/19/07	Shelby County Horse Show, Columbiana, AL	NHSC	222	1 UNILATERAL SORE
5/19/07	TWHAC Pro Am Spring Show, Pomona, CA	NHSC	102	
5/19/07	Winnsboro Charity Show, Winnsboro, LA	NHSC	82	
5/19/07	Wolfe County Basketball Rocky Mountain Show, Campton, KY	KWHA	102	
5/19/07	YATESVILLE COMMUNITY CLASSIC HORSE SHOW, YATESVILLE, GA	NHSC	154	1 SCAR RULE
				2 UNACCEPTABLE
				1 UNILATERAL SORE
5/20/07	Hesler's Double D Spring Challenge Show, Farmers City, IL	HPC	72	
5/24/07	37th Annual Spring Fun Show, Shelbyville, TN	NHSC	555	3 BILATERAL SORE
				6 SCAR RULE

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show start Date	Show Name & Location	Affiliation	DQP Number Inspected	DQP Tickets
				3 UNILATERAL SORE
5/25/07	twin state horse show, mason city, ia	hawha	83	
5/25/07	Grayson HS Assc., Grayson, KY	KWHA	206	
5/25/07	Sand Creek Farm Sale, Shelbyville, TN	NHSC	143	
5/26/07	2007 WISER FARM FUN SHOW SALE, SHELBYVILLE, TN	NHSC	112	1 FOREIGN SUBSTANCE
5/26/07	Brodhead Lions Club HS, Brodhead, KY	KWHA	163	1 OPEN LESION
				1 UNACCEPTABLE
				1 UNILATERAL SORE
5/26/07	Kingsport Civitan Club Horse Show, Limestone, TN	NHSC	232	1 FAILURE TO REPORT TO DQP
				2 UNACCEPTABLE
				1 UNILATERAL SORE
5/26/07	SSHAK 13th Annual Spring Horse Show, Owingsville, KY	SSHBEA	241	
5/26/07	Tennessee Saturday night	wiwha	393	1 ILLEGAL CHAIN
5/27/07	Juvenile Aux. HS, Lancaster, KY	KWHA	228	2 OTHER
				2 SCAR RULE
5/27/07	WHOP VERSATILITY, MURFREESBORO, TN	NHSC	420	
5/30/07	junior league charity , Springfield, mo	hawha	37	
6/1/07	56th Annual Columbia Spring Jubilee, Columbia, TN	NHSC	490	2 FOREIGN SUBSTANCE
				1 HIGH BAND
6/1/07	Billy M. Cary Memorial Walking Horse Show, Huntington, TN	NHSC	121	1 UNACCEPTABLE
6/1/07	Guntown Lions Club, Guntown, MS	SSHBEA	95	
6/1/07	KMSHA Summer Celebration, Sunberry, --	NWHA	208	
6/1/07	The Ohio Classic, Springfield, OH	NWHA	447	1 TECHNICAL VIOLATION
				1 UNILATERAL SORE
6/2/07	33rd Annual Spring Horse Show, Pikeville, TN	NHSC	148	2 SCAR RULE
				1 UNACCEPTABLE
6/2/07	Bob Chapman Memorial SWHBA, McKinney, TX	NHSC	93	
6/2/07	Cynthiana-Harrison Co. Chamber of Commerce, Cynthiana, KY	KWHA	159	1 OTHER
				1 SCAR RULE
				1 UNILATERAL SORE
6/2/07	Edmonson Co. Saddle Club Spring Show, Brownsville, KY	KWHA	164	
6/2/07	Guntown Lion's Club Walking Horse Show, Guntown, MS	NHSC	95	2 SCAR RULE
6/2/07	Lake Laurel HS, Corbin, KY	KWHA	166	1 SCAR RULE
6/2/07	Mo. State Championship Racking Horse Show, Dexter, MO	NHSC	75	1 UNILATERAL SORE
6/2/07	NESHOBA COUNTY CLASSIC, Philadelphia, MS	NHSC	131	
6/2/07	National Spotted Saddle Horse Association (NSSHA) St. Jude's Children's HS, Murfreesboro, TN	NHSC	77	2 UNILATERAL SORE
6/2/07	Ohio KY Walking Racking Show, Wheelersburg, OH	KWHA	225	
6/2/07	Rockingham 4-H Bit & Bridle Annual Open Horse Show, Bridge Water, TN	NHSC	133	
6/2/07	Sam Clemens Memorial Rocky Mountain Championship Show, Booneville, KY	HPC	73	
6/2/07	Tazewell Jaycees HS, Tazewell, TN	KWHA	145	
6/2/07	Wis. Summer Clossr + Versatility, Wolowath , WI	NHSC	325	

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show start Date	Show Name & Location	Affiliation	DQP Number Inspected	DQP Tickets
6/2/07	Woodside 4th Annual Gaited Horse Show, Woodside, CA	NHSC	187	
6/3/07	WHOA 2007 Versatility Walking Horse Show, McKinney, TX	NHSC	69	
6/5/07	MFTHBYA Fun Show, Ava, MO	MFTHBA	78	
6/7/07	spring classic, Springfield, mo	hawha	156	
6/7/07	Three Yr. Old Futurity Spring Show, Ava, MO	MFTHBA	709	
6/8/07	24 th Annual Crossroads of Dixie W.H.S., Lawrenceburg, TN	NHSC	172	1 UNACCEPTABLE
				1 UNILATERAL SORE
6/8/07	40th Annual Savannah Lions Club Walking Horse Royal, Savannah , TN	NHSC	113	1 SCAR RULE
6/8/07	8th Annual White Pine Lions Club Horse Show, White Pine, TN	NHSC	260	3 SCAR RULE
				1 UNILATERAL SORE
				1 UNRULY/FRACTIOUS
6/8/07	Appalachian Regional Rocky Mountain Celebration, Prestonsburg, KY	KWHA	148	
6/8/07	Carter County Shrine Club, Olive Hill, KY	KWHA	319	3 OTHER
6/8/07	Gaited Gala Horse Show (I), Fletcher, NC	NWHA	561	
6/8/07	Pacific Gaited Showcase, Eugene, OR	fosh	291	
6/9/07	18th Annual Cornersville Lions Club & FFA, Cornersville, TN	SSHBEA	131	
6/9/07	2007 California Celebration, Pomona, CA	NHSC	134	1 ILLEGAL CHAIN
				1 UNILATERAL SORE
6/9/07	2nd Annual Cumberland County Horse Show, Crossville, TN	NHSC	189	1 UNACCEPTABLE
6/9/07	9th Annual Surry County NC Foothills Classic Horse Show, Mt Airy, NC	KWHA	129	
6/9/07	Appalachian Regional Rocky Mountain Celebration, Prestonsburg, KY	KWHA	134	
6/9/07	Garrard Co Horse Show, Lancaster, KY	KWHA	195	1 SCAR RULE
				1 UNILATERAL SORE
6/9/07	Lexington Lions Club Horse Show, Lexington, AL	NHSC	129	1 SCAR RULE
				1 SCURFFING
6/9/07	Southern WV Horseman's Assn., Danville, WV	KWHA	40	
6/9/07	The Grindler's Switch Walking Horse Show, Centerville, TN	NHSC	94	1 UNILATERAL SORE
6/9/07	WHOA Versatility Fun Show, Peoria, IL	NHSC	154	
6/9/07	Walking For Fun Show, Dillsburg, PA	NWHA	266	
6/10/07	WHOA Versatility Show, Cookeville, TN	NHSC	259	
6/11/07	Tri-State Horse Show, Cleveland, TN	NHSC	224	1 BAD IMAGE
				2 UNILATERAL SORE
				1 UNRULY/FRACTIOUS
6/12/07	Germantown Charity Horse Show, Germantown, TN	NHSC	338	1 BILATERAL SORE
				2 SCAR RULE
				2 UNACCEPTABLE
				1 UNILATERAL SORE
6/14/07	6th Annual Bedford County FFA Show, Shelbyville, TN	NHSC	141	
6/14/07	Utah Charity Horse Show, South Jordan, UT	HPC	91	
6/15/07	30th Annual Shelbyville Classic, Decatur, AL	NHSC	252	
6/15/07	8th Annual Liberty Lions Club Show, Shelbyville, TN	NHSC	242	2 SCAR RULE

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USDA Horse Protection Program DESIGNATED QUALIFIED PERSON ANNUAL SHOW REPORT <i>As Of December 31 2007</i>				
show start Date	Show Name & Location	Affiliation	DQP Number Inspected	DQP Tickets
6/15/07	East Tennessee Classic, White Pine, TN	NHSC	463	1 OPEN LESION
				1 SCAR RULE
				1 UNACCEPTABLE
				1 UNILATERAL SORE
6/15/07	Gaited Gala Horse Show, Athens, TX	NWHA	92	
6/15/07	Lincoln Co. Fair Racking Spotted HS, Stanford, KY	KWHA	151	1 UNILATERAL SORE
6/15/07	Michigan Little Celebration, Mason, MI	NWHA	541	
6/15/07	Mountain Grove, Mountain Grove, MO	MFTHBA	100	
6/15/07	Smith County Horse Show, Carthage, TN	NHSC	99	
6/16/07	SSRWSHA Tampa Show, Tampa, FL	NHSC	79	
6/16/07	Ashbypost 296 American Legion & Auxiliary Horse Show, Centertown, KY	NHSC	92	
6/16/07	Celina Lions Club 44th Annual Horse Show, Celina, TN	NHSC	124	
6/16/07	Central W.V. Summer Celebration, Sutton, WV	NHSC	90	
6/16/07	Eagleville Lions Club Horse Show, Eagleville, TN	NHSC	168	
6/16/07	East Tennessee Spotted Saddle Horse Show, Pikeville, TN	SSHBEA	142	
6/16/07	Lincoln Co. Fair HS, Stanford, KY	KWHA	229	1 UNILATERAL SORE
6/16/07	swmhsa society show, Bolivar, mo	hawha	90	
6/16/07	NSSHA Trainers Show, Shelbyville, TN	NHSC	97	
6/16/07	SSHAOI, Peoria, IL	SSHBEA	132	
6/16/07	Taylor Co. Fair HS, Campbellsville, KY	KWHA	125	
6/16/07	Walking Horse Association of AL. Summer Classic, Arab, AL	NHSC	155	1 UNRULY/FRACTIOUS
6/16/07	Woodford County Fair Horse Show, Versailles, KY	NWHA	207	
6/18/07	Roanoke Valley HS, Salem, VA	KWHA	74	
6/18/07	The Virginia Walking/Racking Horse Owners Ass. Horse Show, Lynchburg, VA	NHSC	268	1 SCAR RULE
6/22/07	Ava Saddle Club, Ava, MO	MFTHBA	113	
6/22/07	Bourbon Co. Horse Show, Paris, KY	UMH	153	
6/22/07	East Texas 23rd Annual Charity Horse Show, Marshall, TX	NHSC	227	1 SCAR RULE
6/22/07	Southern Minnesota Classic, Albert Lea, MN	NWHA	499	
6/22/07	WI Summer Jamboree, Elkhorn, WI	NHSC	368	
6/22/07	HAMASA SHRINERS SPRING SHOW, STARKVILLE, MS	NHSC	145	
6/22/07	Surf & Sun Show PWHA, Del Mar, Ca	fosh	651	
6/22/07	twheao summer ext	wiwha	564	
6/23/07	18th Annual Twin Cities Classic Horse Show, Phoenix City, AL	NHSC	249	1 FOREIGN SUBSTANCE
				1 UNILATERAL SORE
6/23/07	47th Annual Murfreesboro Exchange Club, Murfreesboro, TN	NHSC	270	
6/23/07	Belfast Five Dept. NSSHA Show, Belfast, TN	NHSC	78	
6/23/07	Bourbon County Fair H.S, Paris, KY	KWHA	115	2 OTHER
6/23/07	Chester Co. Lions Club 35th Horse Show, Henderson, TN	NHSC	131	2 ILLEGAL SHOETING
				2 UNACCEPTABLE
6/23/07	Leigh Ann's Horses for Attachment, Woodbury, TN	SSHBEA	201	
6/23/07	Lewisburg-Millcreek Lions Club, Lewisburg, KY	KWHA	66	

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show start Date	Show Name & Location	Affiliation	DQP Number Inspected	DQP Tickets
6/23/07	MATWHA @ Chambersburg Saddle Club, Chambersburg, PA	NWHA	151	
6/23/07	N.C.O.W.H.A. 2007 Summer Show, Ashland, OH	HPC	176	
6/23/07	NC Foothills Jubilee Horse Show, Morganton, NC	KWHA	175	1 OTHER
6/23/07	O.K. Hege Memorial, Dunlop, TN	NHSC	143	
6/23/07	West Liberty Kiwanis Club, West Liberty, KY	KWHA	201	
6/29/07	2007 Tri-State Walking Association Horse Show, Harrisonburg, VA	NHSC	230	1 UNILATERAL SORE
6/29/07	Chestnut Hill Charity H.S., Dandridge, TN	KWHA	252	2 UNACCEPTABLE
				4 UNILATERAL SORE
6/29/07	Marion Co. Fair Horse Show, Lebanon, KY	KWHA	313	1 ILLEGAL CHAIN
6/29/07	NSSHA Youth Committee, Woodbury, TN	NHSC	83	
6/29/07	S.C.H.A. Kick Off Show, Mansfield, MO	MFTHBA	92	
6/29/07	KY State Championship Mercer Co. Fair Grounds, Harrodsburg, KY	UMH	471	
6/29/07	WAYNE CO. FAIR AND HORSE SHOW, MONTICELLO, KY	KWHA	178	
6/29/07	Virginia Gaited Jubilee, Lexington, VA.	fosh	672	
6/30/07	36th Annual Northside W/R Horse Show, Samantha, AL	NHSC	93	1 UNACCEPTABLE
6/30/07	7th Annual AL Ladies Auxiliary Walking Horse Show, Baileytor, AL	NHSC	172	1 FOREIGN SUBSTANCE
				1 HIGH BAND
				1 UNILATERAL SORE
6/30/07	D.C.F.T.H.B.A., AVA, MO	MFTHBA	81	
6/30/07	Florida Walking and Racking Horse Association (FWRHA) Horse Show (4), Tampa, FL	NHSC	156	
6/30/07	Grayson Co H.S., LEITCHFIELD, KY	KWHA	123	
6/30/07	LIMITED RACING WALKING CLASSIC, Prestonsburg, KY	KWHA	211	
6/30/07	Laclede co saddle club, Lebanon, mo	hawha	40	1 other
6/30/07	National Spotted Saddle Horse Associate, Eagleville, TN	NHSC	87	
6/30/07	Youth Extravaganza, Fowlerville, MI	NWHA	151	
7/1/07	Owingsville Lion Club, OWINGSVILLE, KY	KWHA	519	1 ILLEGAL SHOEING
				1 SCAR RULE
				1 UNACCEPTABLE
				2 UNILATERAL SORE
7/4/07	BOLIVAR ALL-GAITED CLASSIC, BOLIVAR, MO	MFTHBA	69	
7/4/07	Bolivar saddle club, Bolivar, mo	hawha	48	1 open lesion
7/4/07	Bethesda Star Spangled Horse Show, Murfreesboro, TN	NHSC	330	2 UNILATERAL SORE
				1 SCAR RULE
				2 FOREIGN SUBSTANCE
				1 UNACCEPTABLE
7/5/07	23RD ANNUAL MONEY TREE CLASSIC, SHELBYVILLE, TN	NHSC	267	
7/6/07	13th Annual Summer Classic, Shelbyville, TN	SSHBEA	237	
7/6/07	44th Warren Co Horseman's Assoc, McMinnville, TN	NHSC	236	3 SCAR RULES
				2 UNACCEPTABLE
7/6/07	Firecracker Jubilee/Liberty Bell Classic, Williamston, NC	NWHA	718	
7/6/07	KMSHA Championship, Harrodsburg, KY	NWHA	505	

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show start Date	Show Name & Location	Affiliation	DQP Number Inspected	DQP Tickets
7/6/07	MOUNTAIN VIEW, MOUNTAIN VIEW, MO	MFTHBA	80	
7/6/07	mwha jamboree, mason city, ia	hawha	51	
7/6/07	Ocoee Walking Classic & URHOEA, Cleveland, TN	NHSC	270	1 UNILATERAL SORE
				1 UNACCEPTABLE
7/6/07	Southern Classic, Claremore, OK	MFTHBA	284	
7/7/07	Adair Co. Fair Horse Show, Columbia, KY	KWHA	207	
7/7/07	California Fox Trotter Show, Norco, CA	non-affiliated	151	
7/7/07	Hardeman County Rescue Squad, Bolivar, TN	NHSC	143	
7/7/07	Mississippi State Racking Horse Championship and Walking Horse Show, Booneville, MS	NHSC	74	1 SCAR RULE
7/7/07	SSRWSHA July Clarcona Show, Apopka, FL	NHSC	94	
7/7/07	Smith's Grove H.S., Smith's Grove, KY	KWHA	95	
7/7/07	United Way Horse Show, Prestonsburg, KY	KWHA	132	
7/7/07	WHOA Versatility Fun Day Game Show, Lewisburg, TN	NHSC	213	
7/7/07	buffalo saddle club, buffalo, mo	hawha	100	
7/7/07	Wiser Farm, Shelbyville, TN	NHSC	74	1 SCAR RULE
7/7/07	Woodburg Lions Club Walking Horse Show, Woodburg, TN	NHSC	272	
7/11/07	Hardin Co. Fair HS, KY	KWHA	97	1 ILLEGAL SHOEING
7/12/07	Howard Franklin Classic, South Jordan, UT	NHSC	140	
7/12/07	Indiana Celebration, New Castle, IN	NWHA	547	
7/12/07	Land-O-The Sky Summer Show, Arden, NC	KWHA	424	1 LOW CHAIN
				8 SCAR RULE
7/13/07	61st Annual Cornersville Lions Club, Cornersville, TN	NHSC	273	1 UNRULY
7/13/07	Brownsville/Haywood County Walking Horse Classic, Brownsville, TN	NHSC	142	1 SCAR RULE
				2 UNACCEPTABLE
7/13/07	Eastern Classic Horse Show, Harrisonburg, VA	NHSC	309	
7/13/07	Estill County Fair H.S., Irvine, KY	KWHA	124	1 UNILATERAL SORE
7/13/07	Great Celebration Mule & Donkey, Shelbyville, TN	NHSC	334	
7/13/07	KY State Championship, Bowling Green, KY	KWHA	390	
7/13/07	Mid-Am 36th Annual Show, Spfd, MO	MFTHBA	297	
7/13/07	pnw jamboree	wiwha	415	
7/14/07	prairie home fair, prairie home, mo	hawha	63	
7/14/07	Arab Park & Recreation 16th Annual Horse Show, Arab, AL	NHSC	262	
7/14/07	Arkansas Walking/Racking State Horse Show, Pine Bluff, AR	NHSC	54	
7/14/07	Boyle County Fair Horse Show, Danville, KY	KWHA	145	1 FAILURE TO REPORT TO DQP
				1 ILLEGAL SHOEING
				1 UNILATERAL SORE
7/14/07	Cannon Co Reach Program NSSHA Show, Woodbury, TN	NHSC	76	
7/14/07	Estill County Fair, Irvine, KY	UMH	82	
7/14/07	Ewing-Fleming Co. Fair, Ewing, KY	KWHA	112	2 UNILATERAL SORE
7/14/07	Jackson County Horse Show, Gainesboro, TN	NHSC	177	
7/14/07	KMSHA Charity Show, Georgetown, KY	UMH	190	

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show start Date	Show Name & Location	Affiliation	DQP Number Inspected	DQP Tickets
7/14/07	Metcalfe Co. Fair, Edmonton, KY	KWHA	105	1 OTHER
				1 UNILATERAL SORE
7/14/07	Mid-South 19th Annual Walking Horse Show , Jackson, TN	NHSC	162	
7/14/07	north Carolina 4h state championship, Raleigh, nc	HPC	168	
7/14/07	merry legs gaited horse benefit, grass valley, ca	HPC	135	
7/14/07	Pine Spur Hunt Club, Vinton, VA	NHSC	145	1 BILATERAL
				1 SCAR RULE
7/14/07	Queen Co. Fair HS, -, KY	KWHA	93	1 ILLEGAL CHAIN
7/14/07	Youth Council Horse Show, Lewisburg, TN	SSHBEA	162	
7/14/07	Shreveport Bossier Charity, Bossier City, LA	NHSC	68	
7/14/07	Southern WVA Horse Association State Championship, --, WV	KWHA	255	
7/14/07	Evergreen Gaited Horse Classic, Pullup, WA	fosh	179	
7/15/07	National Spotted Saddle Horse Show, Murfreesboro, TN	NHSC	104	
7/17/07	United Performance WV Championship, Danville, WV	HAWHA	84	
7/20/07	Magnolia Classic, Corinth, MS	NHSC	194	1 UNACCEPTABLE
7/20/07	Michigan Hey Day Celebration, Berrien Springs, MI	NWHA	276	
7/20/07	Minnesota Celebration, Vernadale, MN	NWHA	369	
7/20/07	Celebration Championship Show, Castle Rock, CO	NWHA	271	
7/20/07	Union Parish Chamber of Commerce, Farmerville, LA	NHSC	145	1 UNACCEPTABLE
7/21/07	47th Annual Fentress County Horse Show, Jamestown, TN	NHSC	187	1 UNACCEPTABLE
7/21/07	51st Annual New London Ruritan Club Horse Show, Forest, VA	Non-affiliated	187	
7/21/07	Adams County Fair Walking Horse Show, West Union, OH	NWHA	187	
7/21/07	Buckeye Summer Classic, Ashland, OH	NHSC	169	
7/21/07	D.R.C.C. Chitwood Jr. H.S., Lafayette, TN	KWHA	193	
7/21/07	southeast mountain horse club great Smokey mtn championship, white pine, tn	hawha	424	
7/21/07	Dixon Horse Show, Dixon, MO	MFTHBA	45	
7/21/07	East Hall Dugout Club, Gainesville, GA	NHSC	128	
7/21/07	Franklin Co. Fair Horse Show, Frankfort, KY	KWHA	142	
7/21/07	Halls Mill Triangle Comm. Club & Ama. Committee Show, Halls Mill, TN	SSHBEA	128	
7/21/07	KY State United Walking & Racking Show, Prestonburg, KY	KWHA	128	
7/21/07	Ken-Tenn Championship, Mayfield, KY	NHSC	118	1 UNILATERAL SORE
7/21/07	Madisonville Greater Kiwanis, Madisonville, KY	KWHA	65	
7/21/07	Moore County, Lynchburg, TN	NHSC	292	1 FOREIGN SUBSTANCE
7/21/07	Southeastern Walking Horse Classic, Shelbyville, TN	NHSC	86	
7/21/07	Salem saddle club, Salem, mo	hawha	91	
7/21/07	Waynesville Lions Club, Waynesville, NC	KWHA	244	
7/21/07	SOUTHEASTERN WALKING HORSE CLASSIC, EASLEY, SC	NHSC	86	1 UNACCEPTABLE
7/26/07	Dickson Co Saddle & Bridle Club Horse Show, Dickson, TN	NHSC	175	1 SCAR RULE
7/27/07	18th Annual Mid Season Show, Manchester, TN	NHSC	208	
7/27/07	Casey Co. Fair Horse Show, Liberty, KY	KWHA	258	
7/27/07	Gaited Pioneer, West Plains, MO	MFTHBA	125	

Appendix 6

USDA Horse Protection Program DESIGNATED QUALIFIED PERSON ANNUAL SHOW REPORT <i>As Of December 31 2007</i>				
show start Date	Show Name & Location	Affiliation	DQP Number Inspected	DQP Tickets
7/27/07	Indiana Tennessee Walking and Racking Horse Show, New Castle, IN	NHSC	202	
7/27/07	Larue County Fair Walking Horse Show, Magnolia, KY	KWHA	77	
7/27/07	Marshall Co Horsemen's Assn, Lewisburg, TN	NHSC	231	
7/27/07	Mid Season Show, Shelbyville, TN	SSHBEA	156	
7/27/07	ietwhbea celebration	wiwha	329	
7/28/07	G-IV Farms auction, Murfreesboro, tn	twhbea	109	
7/28/07	67th Red Carpet Horse Show, Pulaski, TN	NHSC	182	1 UNILATERAL SORE
7/28/07	A Rockin Summer Circuit, Jackson, OH	KWHA	182	
7/28/07	Greenup Lions Club, Greenup, KY	KWHA	182	
7/28/07	International Grand Championship Pleasure & Colt Show, Murfreesboro, TN	NHSC	1,594	4 UNILATERAL SORE
				1 SCAR RULE
				2 ILLEGAL SHOING
				1 UNACCEPTABLE
7/28/07	MATWHA At York Springs Equestrian Center, York Springs, PA	NWHA	181	
7/28/07	Overton County Fair Open Walking Horse Show, Livingston, TN	NHSC	185	1 BILATERAL
				2 SCAR RULE
				1 UNACCEPTABLE
7/28/07	Parkers Crossroads Walking Horse Show, Parkers Crossroads, TN	NHSC	50	3 SCAR RULES
				1 ILLEGAL CHAIN
				2 UNACCEPTABLE
7/28/07	Red Carpet Horse Show, Pulaski, TN	NHSC	182	
7/28/07	Tazewell County Walking Horse Classic, Tazewell, VA	NHSC	190	2 SCAR RULE
7/28/07	WHOA/Montverde Academy Youth-Amateur Show, Murfreesboro, TN	NHSC	241	
7/29/07	Wisconsin Fox Valley Classic I & II, Oshkosh, WI	NHSC	270	1 UNACCEPTABLE
8/1/07	P.C. Splash Classic, Shelbyville, TN	NHSC	169	
8/2/07	26th Annual Fayetteville Blue Ribbon Horse Show, Fayetteville, TN	NHSC	103	2 SCAR RULE
				1 FOREIGN SUBSTANCE
				1 OTHER
8/2/07	twhbea world versatility show, Shelbyville, tn	hpc	481	
8/3/07	Belfast Lions Club 47th Annual Horse Show, Belfast, TN	NHSC	128	1 SCAR RULE
8/3/07	Carter Co. Shrine Club, Olive Hill, KY	KWHA	277	
8/3/07	Germantown Fair & Horse Show, Maysville, KY	KWHA	140	
8/3/07	Grant Co. Fair, Crittenden, KY	KWHA	89	
8/3/07	Hartville Saddle Club, Hartville, MO	MFTHBA	115	
8/4/07	101st Anniversary Wartrace Horse Show, Wartrace, TN	NHSC	153	1 BILATERAL
				2 UNILATERAL SORE
				3 SCAR RULES
8/4/07	6th Annual Walking Tall Classic Horse Show, Adamsville, TN	NHSC	189	4 UNACCEPTABLE
8/4/07	Putnam County Fair, Cookeville, TN	NHSC	180	
8/4/07	Russell Co Fair H. S., Russell Spring, KY	KWHA	138	
8/4/07	Summer Co Fair Grounds H. S., Gallatin, TN	KWHA	82	

USDA Horse Protection Program DESIGNATED QUALIFIED PERSON ANNUAL SHOW REPORT <i>As Of December 31 2007</i>				
show start Date	Show Name & Location	Affiliation	DQP Number Inspected	DQP Tickets
8/4/07	Summer Festival Horse Show, Owenton, KY	KWHA	156	
8/4/07	URHOEA All Pleasure Show, Morristown, TN	NHSC	102	
8/4/07	Walking Tall Classic, Adamsville, TN	NHSC	189	4 UNACCEPTABLE
8/6/07	The Gaited Classic Howard County Fair, West Friendship, MO	NWHA	107	
8/7/07	Missouri State Fair, Sedalia, MO	HAWHA	253	
8/8/07	Boone Co. Fair & Horse Show, Burlington, KY	KWHA	80	
8/10/07	Manchester Charity Horse Show, Manchester, KY	KWHA	101	
8/10/07	Tri State Walking Horse Summer Classic, Verona, OH	NWHA	161	
8/11/07	50th Annual Fentress Co. Walking Horse Show, Jamestown, TN	NHSC	133	
8/11/07	Grove Springs Ann. Show, Grove Springs, MO	MFTHBA	104	
8/11/07	Jackson Co. Lady Generals 13th Annual Horse Show, McKee, KY	KWHA	121	
8/11/07	Madison Co. Walking H.S., Richmond, KY	KWHA	242	
8/11/07	NSSHA Amateur Show, Alexandria, TN	NHSC	62	
8/11/07	Nolensville Lions Club Horse Show, Franklin, TN	NHSC	119	
8/11/07	Southern WV Horseman's Assoc., Madison, WV	KWHA	38	
8/11/07	WHAA Charity Horse Show & AL Futurity, Decatur, AL	NHSC	199	1 UNILATERAL SORE
				1 SCAR RULE
				1 UNACCEPTABLE
8/11/07	tri-county fair horse show, Petersburg, wv	twbhea	235	
8/13/07	State Fair of West Virginia Horse, Lewisburg, WV	NHSC	96	
8/16/07	National Breeders Cup, Springfield, MO	MFTHBA	302	
8/18/07	4th Annual NSSHA Wartrace Show, Wartrace, TN	NHSC	74	
8/18/07	Ladies Auxiliary Horse Show, Lewisburg, TN	SSHBEA	148	
8/18/07	Laurel/London Optimist H. S., London, KY	KWHA	232	1 FOREIGN SUBSTANCE
				1 SCAR RULE
				1 UNACCEPTABLE
				1 UNILATERAL SORE
8/18/07	Ohio Championship, Springfield, OH	KWHA	123	
8/18/07	Spring Hill Lions Club, Spring Hill, TN	NHSC	109	
8/18/07	The Pleasure Trials, Hilliard, OH	NWHA	375	
8/18/07	Wisconsin WHOA Versatility Show, West Bend, WI	NHSC	180	1 FOREIGN SUBSTANCE
				1 UNACCEPTABLE
8/18/07	Atlantic coast classic twh show, Wakefield, va	hpc	78	
8/18/07	jubilee horse show	twbhea	106	1 FOREIGN SUBSTANCE
8/18/07	12th annual Midwest regional futurity	twbhea	59	
8/19/07	Chas Kilborn Memorial Championship, Springfield, OH	KWHA	99	
8/19/07	pleasure walking horse show, Lebanon, tn	hpc	32	
8/22/07	twbhea 2007 futurity, Shelbyville, tn	hpc	91	1 heel/toe ratio
				1 SCAR RULE
8/22/07	69th Annual Tennessee Walking Horse National Celebration, Shelbyville, TN	NHSC	3,257	2 BILATERAL
				43 UNILATERAL SORE

Appendix 6

USDA Horse Protection Program DESIGNATED QUALIFIED PERSON ANNUAL SHOW REPORT <i>As Of December 31 2007</i>				
show start Date	Show Name & Location	Affiliation	DQP Number Inspected	DQP Tickets
				44 SCAR RULE
				4 FOREIGN SUBSTANCE
				7 ILLEGAL SHOETING
				1 SCURFFING
				26 UNACCEPTABLE
8/25/07	Indiana Championship, Edinburg, IN	KWHA	131	
8/25/07	Wiser Farm LLC, Shelbyville, TN	NHSC	276	1 SCAR RULE
8/25/07	Oregon State Fair, Salem OR	fosh	170	
8/26/07	Sand Creek Celebration Sale, Shelbyville, TN	NHSC	73	
8/26/07	St. Clair All Gaited, St. Clair, MO	MFTHBA	148	
8/26/07	Tri State Championship, Edinburg, IN	KWHA	134	
8/31/07	Oregon State Fair, Salem, OR	fosh	33	
9/1/07	Bluegrass SSHA Fall Show, Lawrenceburg, KY	SSHBEA	153	
9/1/07	aoha state show, Montgomery, al	nhsc	242	
9/1/07	astride with pride benefit, Roanoke, va	nhsc	93	1 unilateral SORE
9/1/07	Magoffin County Baseball, Prestonsburg, KY	KWHA	118	
9/2/07	44th Annual Arkansas State Championship Horse Show, little rock, ar	nhsc	117	
9/2/07	49th Annual Show and Celebration, Ava, MO	MFTHBA	1693	1 SCAR RULE
9/3/07	WISCONSIN FALL FESTIVAL, Elkhorn, wi	nhsc	201	
9/6/07	UPRHBTA World Celebration, MCarr, KY	KWHA	312	1 UNACCEPTABLE
9/7/07	Michigan state championship horse show, east Lansing, mi	NWHA	423	
9/7/07	North American Pleasure Gaited Horse Championship, Castle Rock, CO	fosh	487	
9/8/07	Edmonson Co. Lions Club, Smith Grove, KY	KWHA	123	
9/8/07	Maysville Rotary H.S., Germantown, KY	KWHA	102	1 UNACCEPTABLE
				1 UNILATERAL SORE
9/8/07	2007 harvest jubilee, Louisburg, nc	NWHA	283	
9/8/07	SSRWSHA Sumter Show, Bushnell, fl	nhsc	98	
9/8/07	Southern WV. Horseman's Assoc., Madison, WV	KWHA	19	
9/8/07	White Co. Fair Horse Show, Sparta, TN	KWHA	164	1 OTHER
				1 SCAR RULE
9/12/07	Utah state fair horse show, salt lake city, ut	nhsc		
9/15/07	GCFTHA Fall Classic Fox Trotter and Gaited Horse Show, Ponca City, OK	MFTHBA	79	
9/15/07	warren county a & l fair, McMinnville, tn	nhsc	187	
9/15/07	al miller memorial horse show, Cleveland, tn	nhsc	184	
9/15/07	Lewis Co. Fair Show, Vanceburg, KY	KWHA	178	
9/15/07	Stanly County Saddle Club, Albemarle, NC	KWHA	102	
9/15/07	wiwha versatility show	wiwha	267	
9/15/07	Longview horse park, Kansas city, mo	twbhea	90	
9/16/07	upper Cumberland twh assoc. show, Cookeville, tn	hpc	45	
9/18/07	the national, Murfreesboro, tn	nwha	1480	3 technical violations
9/18/07	RMHA International Show, Lexington, KY	KWHA	1,288	

USDA Horse Protection Program DESIGNATED QUALIFIED PERSON ANNUAL SHOW REPORT <i>As Of December 31 2007</i>				
show start Date	Show Name & Location	Affiliation	DQP Number Inspected	DQP Tickets
9/19/07	42nd Annual Kentucky Celebration, Liberty, KY	KWHA	694	2 scar rule
				1 unilateral SORE
9/19/07	urhoea 9th annual world celebration, white pine, tn	nhsc	607	2 scar rule
				1 unilateral SORE
				1 open lesion
9/22/07	2007 Racking Horse World Celebration, Decatur, al	nhsc	1242	1 FOREIGN SUBSTANCE
				1 unilateral SORE
9/22/07	Tri-COUNTIES, SANTA ROSA, CA	NHSC	262	
9/22/07	triple crown classic horse show, phoenix city, al	nhsc	170	1 unilateral SORE
				1 unacceptable
9/22/07	Versatility show, phoenix city, al	NHSC	47	
9/22/07	Gaited Spectacular, Morristown, fl	fosh	69	
9/22/07	Florida whoa versatility show, Apopka, fl	NHSC	79	
9/22/07	whoa versatility Raleigh host lions club, Raleigh, nc	nhsc	74	
9/22/07	Lenoir optimist horse show, Lenoir, nc	hawha	124	
9/26/07	23 rd annual fall show, Shelbyville, tn	sshbea	1306	
9/27/07	2007 international championship, Murfreesboro, tn	nhsc	523	1 bilateral sore
				1 unruly/fractionis
				1 unacceptable
9/27/07	Ohio celebration, jackson, oh	nhsc	443	
9/28/07	sc walking horse state championship, Clemson, sc	nhsc	362	2 unacceptable
				1 unilateral SORE
9/28/07	brown county horse show, Georgetown, oh	nhsc	91	
9/28/07	northeast gaited show, Dillsburg, pa	fosh	180	
9/29/07	Baileyton Horse Show, Greenville, TN	KWHA	189	
9/29/07	southern classic sales, Shelbyville, tn	SSHBEA	87	
9/29/07	2007 celebration horse sale, Decatur, al	nhsc	37	
9/29/07	WIWHA Show & Futurity, Eugene, or	wiwha	798	1 low chain
9/29/07	Wisconsin fall finale, west bend, wi	nhsc	190	1 unacceptable
9/29/07	Eastern KY Walking & Racking Horse Assoc., Prestonburg, KY	KWHA	178	
10/4/07	NC Championship Walking Horse Show, Fletcher, NC	KWHA	649	
10/5/07	Houston Walking & Racking Horse Association, CONROE, TX	NHSC	307	
10/5/07	NPWHA Futurity, Burbank, ca	fosh	612	
10/06/2007	heart o Dixie championship, mc comb, ms	nhsc	73	
10/06/2007	Allen ingle memorial horse show, Alexander city, al	nhsc	169	1 illegal shoeing
10/06/2007	Lewisburg Millereek lions club fall horse show, Maysville, ky	kwha	117	
10/06/2007	Indiana classic charity horse show, Edinburg, in	nwha	71	
10/11/2007	Illinois walking horse show, Springfield, il	HAWHA	397	
10/12/2007	mid-Ohio fall round up, Springfield, oh	nwha	385	
10/12/2007	MOREHEAD STATE, MOREHEAD, KY	KWHA	332	
10/12/2007	nc state far, Raleigh, nc	kwha	388	1 unacceptable

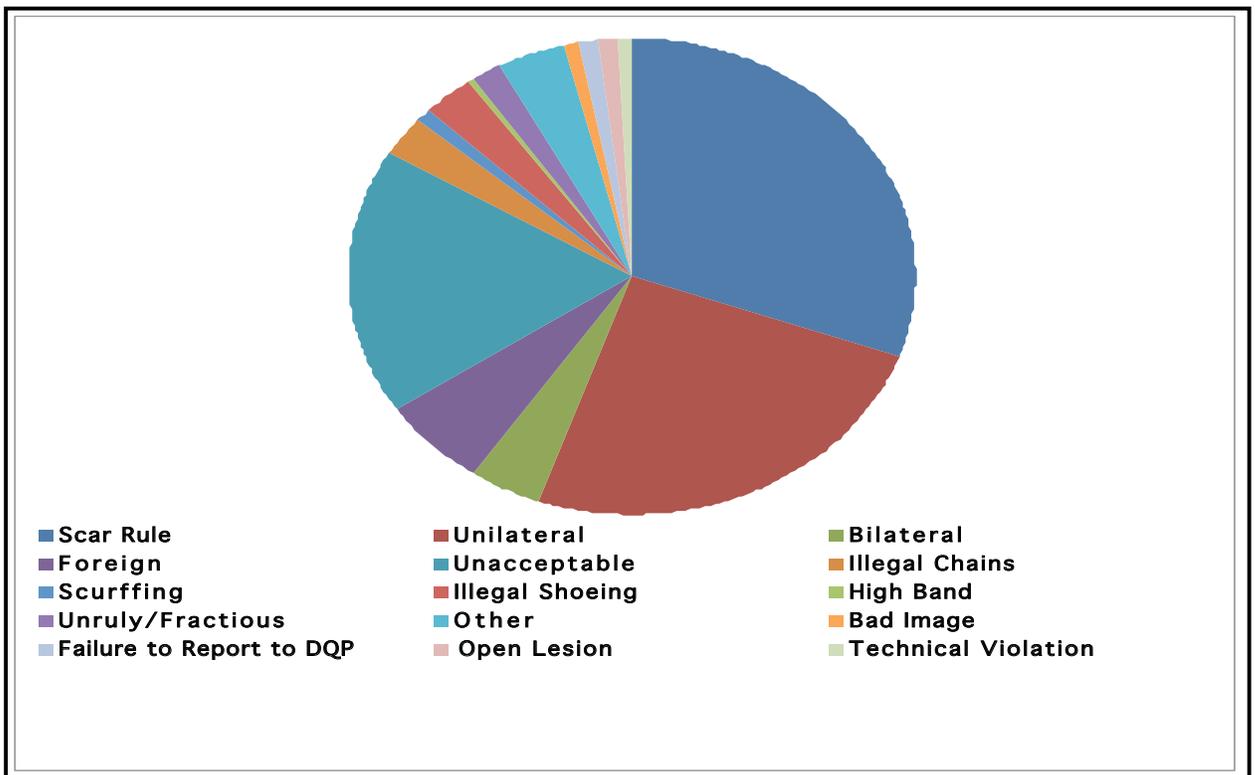
Appendix 6

USDA Horse Protection Program DESIGNATED QUALIFIED PERSON ANNUAL SHOW REPORT <i>As Of December 31 2007</i>				
show start Date	Show Name & Location	Affiliation	DQP Number Inspected	DQP Tickets
10/12/2007	east Mississippi fall classic, Louisville, ms	nhsc	224	
10/13/2007	whoa versatility show 2, Brownville, ky	nhsc	160	
10/13/2007	Tallassee rotary club charity, Tallassee, al	nhsc	164	
10/13/2007	sc state racking & walking championship, longs, sc	KWHA	108	
10/19/2007	Moon Walker Memorial Show, Marshall, tx	nwha	497	
10/20/2007	b & s sales llc, liberty, ky	KWHA	29	
10/20/2007	camps kemo courage & happy days, cayce, sc	nhsc	140	
10/20/2007	ky walking horse breeders incentive, bowling green, ky	KWHA	108	
10/20/2007	Weakley county walking & racking horse classic, martin, tn	nhsc	157	
10/20/2007	lamar county relay for life horse show, Vernon, al	NHSC	120	1 unacceptable
				1 foreign SUBSTANCE
				1 bad IMAGE
10/20/2007	ntasha horse show, Irving, tx	nhsc	201	
10/25/2007	40th annual Alabama jubilee charity horse show, Decatur, al	NHSC	614	
10/25/2007	etwha ladies auxiliary horse show, white pine, tn	kwha	562	2 illegal chain
				2 unilateral SORE
				2 SCAR RULE
				1 illegal shoeing
10/25/2007	fall championship, Springfield, mo	hawha	245	
10/27/2007	Florence charity walking horse show, Florence, sc	kwha	45	3 scar RULE
				1 open lesion
				1 scurffing
				1 bilateral sore
				1 unilateral SORE
11/01/2007	cbwha fall classic, cedar rapids, ia	hawha	206	
11/02/2007	MIDNIGHT SUN CHARITY HORSE SHOW & FESTIVAL, GREENVILLE, FL	NHSC	342	1 SCAR RULE
11/03/2007	ASHA FALL EXTRAVAGANZA, PRICEVILLE, AL	NHSC	246	4 UNILATERAL SORE
11/03/2007	WHOA VERSATILITY HORSE SHOW, FOLEY, AL	NHSC	88	
11/03/2007	Madison Co. Walking H.S., Richmond, KY	KWHA	349	1 OTHER
11/03/2007	NCWHA TIP FALL HORSE SHOW, DOBSON, NC	KWHA	139	
11/08/2007	DELTA FALL CLASSIC, TUNICA, MS	NHSC	795	1 UNILATERAL SORE
				2 Scar rule
11/09/2007	SSRWSHA TAMPA SHOW I, TAMPA, FL	NHSC	272	
11/10/2007	OAK GROVE SCHOOL COMMUNITY CENTER, WINCHESTER, TN	NHSC	277	1 UNACCEPTABLE
				1 UNILATERAL SORE
11/15/2007	SOUTHERN CHAMPION CHARITY HORSE SHOW, PERRY, GA	NHSC	382	4 UNILATERAL SORE
				2 scar rule
				1 UNACCEPTABLE
11/17/2007	MOREHEAD STATE CHEERLEADING HORSE SHOW, MOREHEAD, KY	KWHA	80	
11/24/2007	Wiser Farm LLC, Shelbyville, TN	NHSC	106	
11/24/2007	WALKING FOR THE CHILDREN HORSE SHOW, MURFREESBORO, TN	NHSC	266	1 BILATERAL SORE

USDA Horse Protection Program DESIGNATED QUALIFIED PERSON ANNUAL SHOW REPORT <i>As Of December 31 2007</i>				
show start Date	Show Name & Location	Affiliation	DQP Number Inspected	DQP Tickets
12/02/2007	NC HORSE COUNCIL SOUTHERN HORSE FESTIVAL, RALEIGH, NC	NHSC	53	
12/08/2007	SAND CREEK SALES 1, SHELBYVILLE, TN	NHSC	97	
12/28/2007	CELEBRATION SALE AFTER CHRISTMAS SALE, DECATUR, AL	NHSC	29	1 SCAR RULE
12/29/2007	SOUTHERN CLASSIC HORSE SALE, LEWISBURG, TN	SSHBEA	55	
TOTAL	506 Shows	240 NHSC 117 KWHA 19 SSHBEA 20 HAWHA 38 NWAH 20 MFTHBA 22 HPC 13 FOSH 5 twhbea 8 WIWHA 4 UMH	109,008	629 violations

Appendix 6

USDA Horse Protection Program DESIGNATED QUALIFIED PERSON ANNUAL SHOW REPORT <i>As Of December 31 2007</i>				
Total Types of Violations				
Scar Rule	Unilateral	Bilateral	Foreign	Unacceptable
193	154	27	38	114
Illegal Change	Scurffing	Illegal Shoeing	High Band	Unruly/Fractious
18	5	18	3	10
Other	Bad Image	Failure to Report to DQP	Open Lesion	Technical Violation
25	5	7	6	6



USDA Horse Protection Program USDA Veterinary Medical Officer Annual Show Report <i>As of December 31, 2007</i>						
dates attended by usda	SHOW NAME & LOCATION	AFFILIATION	NUMBER OF ENTRIES (when usda present)	VMO NUMBER INSPECTED	DOP TICKETS	FEDERAL INVESTIGATIONS
2/02/07-2/03/07	2007 Kentucky After Christmas Sale , Lexington, KY	NHSC	580	16	6 SCAR RULE	6 SCAR RULE
					2 FOREIGN SUBSTANCE	3 FOREIGN SUBSTANCE
					2 UNACCEPTABLE	2 UNACCEPTABLE
					2 UNILATERAL SORE	2 UNILATERAL SORE
					5 BILATERAL SORE	5 BILATERAL SORE
3/3/07	The Carolina Walkers' Camden Classic, Camden, NC	NWHA	357	25		
3/9/07	3rd Annual South Alabama Charity Horse Show, Andalusin, AL	NHSC	102	39	1 BILATERAL SORE	1 BILATERAL SORE
					4 UNACCEPTABLE	4 UNACCEPTABLE
					1 UNILATERAL SORE	1 UNILATERAL SORE
					2 FOREIGN SUBSTANCE	2 FOREIGN SUBSTANCE
					2 ILLEGAL CHAIN	2 ACTION DEVICE
					1 SCURFFING	1 SCURFFING
3/10/07	WHO A Academy Program, Shelbyville, TN	NHSC	94	94		
3/23/07-3/24/07	39th Annual National Walking Horse Trainers Show, Shelbyville, TN	NHSC	221	77	1 UNILATERAL SORE	1 UNILATERAL SORE
					1 BILATERAL SORE	1 BILATERAL SORE
					4 FOREIGN SUBSTANCE	4 FOREIGN SUBSTANCE
					14 SCAR RULE	14 SCAR RULE
					4 UNACCEPTABLE	4 UNACCEPTABLE
4/6/07	19th Annual Murfreesboro Kiwanis Club/ WHOA, MURFREESBORO, TN	NHSC	95	26		
4/7/07	34th Annual Upper Cumberland Horse Show, Cookeville, TN	NHSC	65	28	1 BILATERAL SORE	1 BILATERAL SORE
					2 SCAR RULE	2 SCAR RULE
4/12/07-4/13/07	Mississippi Charity Horse Show, Jackson, MS	NHSC	123	70	1 FOREIGN SUBSTANCE	1 FOREIGN SUBSTANCE
					1 ILLEGAL CHAIN	1 ILLEGAL CHAIN
					2 UNILATERAL SORE	2 UNILATERAL SORE
					6 SCAR RULE	6 SCAR RULE
4/14/07	21th Annual Fairview Lion Club Horse Show, Ciltman, AL	NHSC	114	97	2 UNILATERAL SORE	2 UNILATERAL SORE
4/20/07-4/21/07	KWHA Ladies Auxiliary Spring Jubilee Charity Horse Show, Harrodsburg, KY	KWHA	164	50	1 ILLEGAL CHAIN	3 UNILATERAL SORE
					1 ILLEGAL SHOENING	2 BILATERAL SORE
					1 OTHER	4 SCAR RULE
						2 ACTION DEVICES
						1 FOREIGN SUBSTANCE

Appendix 7

USDA Horse Protection Program USDA Veterinary Medical Officer Annual Show Report <i>As of December 31, 2007</i>						
dates attended by usda	SHOW NAME & LOCATION	AFFILIATION	NUMBER OF ENTRIES (when usda present)	VMO NUMBER INSPECTED	DOP TICKETS	FEDERAL INVESTIGATIONS
4/26/07-4/28/07	36th Annual Racking Horse Spring Celebration, Decatur, AL	NHSC	294	103	1 BILATERAL SORE 10 SCAR RULE	2 BILATERAL SORE 10 SCAR RULE
					1 FOREIGN SUBSTANCE 1 UNACCEPTABLE	1 FOREIGN SUBSTANCE 1 UNACCEPTABLE
					1 UNILATERAL SORE	1 UNILATERAL SORE
4/26/07-4/28/07	Gulf Coast Charity Horse Show, Panama City, FL	NHSC	388	80	6 SCAR RULE 1 ILLEGAL CHAIN	6 SCAR RULE 1 ILLEGAL CHAIN
					1 UNACCEPTABLE	1 UNACCEPTABLE
					1 UNILATERAL SORE	1 UNILATERAL SORE
5/4/07-5/5/07	Derby Classic HS, Harrodsburg, KY	KWHA	210	72	1 BILATERAL SORE 1 FOREIGN SUBSTANCE	2 BILATERAL SORE 1 FOREIGN SUBSTANCE
					4 SCAR RULE	4 SCAR RULE
					4 UNILATERAL SORE	4 UNILATERAL SORE
					2 OTHER	2 UNACCEPTABLE
5/17/07-5/19/07	7th Annual Mid-American Horse Show, Jackson, MO	HAWHA	174	60	1 FOREIGN SUBSTANCE	1 FOREIGN SUBSTANCE
						1 ILLEGAL CHAIN
						2 UNILATERAL SORE
5/25/07-5/26/07	37th Annual Fun Show, Shelbyville, TN	NHSC	340	69	3 BILATERAL SORE 3 UNILATERAL SORE	3 BILATERAL SORE 3 UNILATERAL SORE
					6 SCAR RULE	6 SCAR RULE
						1 OTHER
6/16/07	Germantown Charity Horse Show, Germantown, TN	NHSC	24	15	1 UNILATERAL SORE	1 UNILATERAL SORE
					2 UNACCEPTABLE	2 UNACCEPTABLE
					1 BILATERAL SORE	1 BILATERAL SORE
					2 SCAR RULE	2 SCAR RULE
7/13-14/2007	Land-O-The Sky Summer Show, Asheville, NC	KWHA	245	92	8 SCAR RULE 1 LOW CHAIN	12 SCAR RULE 1 ACTION DEVICE
						2 SCAR RULE
7/21/07	51st Annual New London Equestrian Club Horse Show, Forest, VA	none	187	41		2 SCAR RULE
8/2/07	26th Annual Fayetteville Blue Ribbon Horse Show, Fayetteville, TN	NHSC	103	31	2 SCAR RULE 1 OTHER	2 SCAR RULE 1 UNACCEPTABLE
					1 FOREIGN SUBSTANCE	1 FOREIGN SUBSTANCE

USDA Horse Protection Program USDA Veterinary Medical Officer Annual Show Report <i>As of December 31, 2007</i>									
dates attended by usda	SHOW NAME & LOCATION	AFFILIATION	NUMBER OF ENTRIES (when usda present)	VMO NUMBER INSPECTED	DOP TICKETS	FEDERAL INVESTIGATIONS			
8/3/07	Belfast Lions Club 47th Annual Horse Show, Belfast, TN	NHSC	128	39	1 SCAR RULE	1 SCAR RULE			
8/4/07	101st Anniversary Wartrace Horse Show, Wartrace, TN	NHSC	153	44	3 SCAR RULE	3 SCAR RULE			
					2 UNILATERAL SORE	2 UNILATERAL SORE			
					1 BILATERAL SORE	1 BILATERAL SORE			
8/22/07	TWHBEA 69th Annual Futurity, Shelbyville, TN	HPC	120	25	1 SCAR RULE	1 SCAR RULE			
					1 ILLEGAL SHOING				
8/23/07-8/25/07-9/1/07	69th Annual Tennessee Walking Horse National Celebration, Shelbyville, TN	NHSC	1788	152	35 UNILATERAL SORE	35 UNILATERAL SORE			
					42 SCAR RULE	42 SCAR RULE			
					18 UNACCEPTABLE	18 UNACCEPTABLE			
					3 FOREIGN SUBSTANCE	3 FOREIGN SUBSTANCE			
					1 BILATERAL SORE	1 BILATERAL SORE			
					4 ACTION DEVICE	4 ACTION DEVICE			
8/25/07	2007 Celebration Sale Wiser Farm, LLC, Shelbyville, TN	nhsc	57	3	1 SCAR RULE	1 SCAR RULE			
9/7/07-9/8/07	49th Annual Show and Celebration, Ava, MO	MFTBBA	293	48	1 SCAR RULE	1 SCAR RULE			
9/21/07-9/22/07	41st Annual Kentucky Celebration, Liberty, KY	KWHA	226	91	6 UNILATERAL SORE	6 UNILATERAL SORE			
					1 BILATERAL SORE	3 BILATERAL SORE			
					21 SCAR RULE	21 SCAR RULE			
					1 UNACCEPTABLE	1 UNACCEPTABLE			
10/4/07-10/6/07	NC Championship Walking Horse Show, Fletcher, NC	KWHA	620	100	4 UNILATERAL SORE	7 UNILATERAL SORE			
					18 SCAR RULE	18 SCAR RULE			
					2 ACTION DEVICE	2 ACTION DEVICE			
					1 FOREIGN SUBSTANCE	1 FOREIGN SUBSTANCE			
						3 BILATERAL SORE			
10/5/07	Houston Walking & Racking Horse Association, Conroe, TX	NHSC	298	92	1 ACTION DEVICE	1 ACTION DEVICE			
					1 UNILATERAL SORE	1 UNILATERAL SORE			
10/25/07	40th annual jubilee charity horse show, Decatur, al	nhsc	178	40	3 UNILATERAL SORE	1 BILATERAL SORE			
					1 BILATERAL SORE				
					4 SCAR RULE				
10/27/07	florence charity walking horse show, florence, sc	kwha	50	19	1 UNILATERAL SORE	2 BILATERAL SORE			
					1 BILATERAL SORE	3 SCAR RULE			
					3 SCAR RULE				

Appendix 7

USDA Horse Protection Program USDA Veterinary Medical Officer Annual Show Report <i>As of December 31, 2007</i>						
dates attended by usda	SHOW NAME & LOCATION	AFFILIATION	NUMBER OF ENTRIES (when usda present)	VMO NUMBER INSPECTED	DQP TICKETS	FEDERAL INVESTIGATIONS
11/15/07	2007 50th annual southern championship charity horse show, perry, ga	nhsc	193	82	3 UNILATERAL SORE 2 SCAR RULE	
TOTAL			7,984	1,820		

total shows	31
total nhsc	20
total kwha	6
total nwha	1
total hpc	1
total hawha	1
total mfhba	1
total non-affiliated	1

total dqp tickets	325	total federal investigation	340
bilateral sore	19	bilateral sore	29
illegal chain	5	illegal chain	3
scurfing	1	scurfing	1
scar rule	163	scar rule	168
foreign substance	17	foreign substance	18
unacceptable	33	unacceptable	36
unilateral sore	73	unilateral sore	73
illegal shoeing	2	action device	11
action device	7	other	1
other	4		
low chain	1		

USDA HORSE PROTECTION PROGRAM
 GAS CHROMATOGRAPHY/MASS SPECTROMETRY
 SNIFFER TEST RESULTS
 2007

DATE	SHOW	TESTED	POSITIVE	NEGATIVE	SUBSTANCES FOUND ON EACH HORSE
2/2/2007 to 2/03/07	2007 KENTUCKY AFTER CHRISTMAS SALE	20	14	6	CAMPBOR, BENZOCAINE, ISOPROPYL PALMITATE ELEMENTAL SULFUR ELEMENTAL SULFUR METHYL SALICYLATE ELEMENTAL SULFUR ELEMENTAL SULFUR ELEMENTAL SULFUR CAMPBOR AROMATIC HYDROCARBONS - FUEL OIL MENTHOL, BENZOCAINE ELEMENTAL SULFUR MENTHOL ISOPROPYL PALMITATE, α -AMINOAZOTOLUENE, AROMATIC HYDROCARBONS - FUEL OIL α -AMINOAZOTOLUENE SILICONE-BASED LUBRICANTS ELEMENTAL SULFUR CAMPBOR, BENZOCAINE, α -AMINOAZOTOLUENE CAMPBOR, BENZOCAINE, ELEMENTAL SULFUR α -AMINOAZOTOLUENE LIDOCAINE ELEMENTAL SULFUR, ISOPROPYL MYRISTATE ELEMENTAL SULFUR ISOPROPYL PALMITATE, AROMATIC HYDROCARBONS - FUEL OIL OCTYL METHOXYCINNAMATE LIDOCAINE BENZOCAINE, ISOPROPYL PALMITATE CAMPBOR, BENZOCAINE BENZOCAINE, α -AMINOAZOTOLUENE ELEMENTAL SULFUR ELEMENTAL SULFUR ELEMENTAL SULFUR CAMPBOR, ELEMENTAL SULFUR ISOPROPYL PALMITATE CAMPBOR, BENZOCAINE ISOPROPYL PALMITATE ISOPROPYL PALMITATE, ISOPROPYL MYRISTATE CAMPBOR, BENZOCAINE AROMATIC HYDROCARBONS - FUEL OIL ELEMENTAL SULFUR ELEMENTAL SULFUR CAMPBOR, BENZOCAINE ISOPROPYL MYRISTATE ELEMENTAL SULFUR ELEMENTAL SULFUR MENTHOL, BENZOCAINE ELEMENTAL SULFUR CAMPBOR, BENZOCAINE, ELEMENTAL SULFUR ELEMENTAL SULFUR BENZOCAINE ELEMENTAL SULFUR
3/23/2007	39TH ANNUAL TRAINERS SHOW	20	12	8	
3/24/2007	39TH ANNUAL TRAINERS SHOW	20	10	10	
4/6/07 to 4/07/07	34TH ANNUAL UPPER CUMBERLAND SHOW	17	5	12	
4/12/2007	MISSISSIPPI CHARITY HORSE SHOW	11	10	1	
4/20/2007	SPRING JUBILEE	9	7	2	

USDA HORSE PROTECTION PROGRAM
 GAS CHROMATOGRAPHY/MASS SPECTROMETRY
 SNIFFER TEST RESULTS
 2007

DATE	SHOW	TESTED	POSITIVE	NEGATIVE	SUBSTANCES FOUND ON EACH HORSE
5/17/2007	7TH ANNUAL MID-AMERICAN SPRING CHARITY	9	3	6	o-AMINOAZOTOLUENE CAMPBOR, ELEMENTAL SULFUR
5/18/2007	7TH ANNUAL MID-AMERICAN SPRING CHARITY	15	5	10	CAMPBOR ISOPROPYL PALMITATE CAMPBOR, ELEMENTAL SULFUR CAMPBOR, ELEMENTAL SULFUR ELEMENTAL SULFUR
5/25/2007	37TH ANNUAL FUN SHOW	17	6	11	ELEMENTAL SULFUR ISOPROPYL PALMITATE ELEMENTAL SULFUR CAMPBOR
					SILICONE-BASED LUBRICANTS ELEMENTAL SULFUR
					ISOPROPYL PALMITATE ELEMENTAL SULFUR
5/26/2007	37TH ANNUAL FUN SHOW	18	8	10	ELEMENTAL SULFUR ELEMENTAL SULFUR ISOPROPYL PALMITATE ISOPROPYL PALMITATE ELEMENTAL SULFUR ELEMENTAL SULFUR ISOPROPYL MYRISTATE ELEMENTAL SULFUR
6/16/2007	GERMANTOWN SHOW	14	11	3	CAMPBOR BENZOCANE, ELEMENTAL SULFUR SILICONE-BASED LUBRICANTS, ISOPROPYL PALMITATE ELEMENTAL SULFUR CAMPBOR CAMPBOR ELEMENTAL SULFUR ISOPROPYL PALMITATE SILICONE-BASED LUBRICANTS SILICONE-BASED LUBRICANTS, ELEMENTAL SULFUR, ISOPROPYL PALMITATE ISOPROPYL PALMITATE OCTYL METHOXYGINNAMATE ELEMENTAL SULFUR CAMPBOR, ELEMENTAL SULFUR o-AMINOAZOTOLUENE ELEMENTAL SULFUR BENZOCANE SILICONE-BASED LUBRICANTS BENZOCANE, ELEMENTAL SULFUR BENZOCANE, CAMPBOR ELEMENTAL SULFUR BENZOCANE ELEMENTAL SULFUR o-AMINOAZOTOLUENE SILICONE-BASED LUBRICANTS, ELEMENTAL SULFUR ELEMENTAL SULFUR
7/13/2007	LAND OF THE SKY SHOW	10	5	5	
7/14/2007	LAND OF THE SKY SHOW	20	12	8	
8/23/07 to 8/25/07	TN WALKING HORSE CELEBRATION	20	13	7	SILICONE-BASED LUBRICANTS, ELEMENTAL SULFUR, o-AMINOAZOTOLUENE ELEMENTAL SULFUR CAMPBOR, MENTHOL

Appendix 8

**USDA HORSE PROTECTION PROGRAM
GAS CHROMATOGRAPHY/MASS SPECTROMETRY
SNIFFER TEST RESULTS
2007**

DATE	SHOW	TESTED	POSITIVE	NEGATIVE	SUBSTANCES FOUND ON EACH HORSE
					ELEMENTAL SULFUR
					ISOPROPYL PALMITATE
					BENZOCANE, CAMPHOR
					BENZOCANE
					BENZOCANE
					ISOPROPYL PALMITATE
					ELEMENTAL SULFUR
					OCTYL METHOXYCINNAMATE
					SILICONE-BASED LUBRICANTS, ISOPROPYL MYRISTATE, ISOPROPYL PALMITATE
					BENZOCANE
					OCTYL METHOXYCINNAMATE
					BENZOCANE
8/30/07 to 9/01/07	TN WALKING HORSE CELEBRATION	19	7	12	ELEMENTAL SULFUR
					ISOPROPYL PALMITATE
					ISOPROPYL PALMITATE
					ELEMENTAL SULFUR
					ELEMENTAL SULFUR
					SILICONE-BASED LUBRICANTS
					ELEMENTAL SULFUR
TOTAL	14 EVENTS	353	175	178	50 % POSITIVE

Topical Foreign Substance Uniqueness:
 Camphor = Irritant
 Isopropyl Palmitate or Myristate = Cosmetic lotion
 Elemental Sulfur = Karolytic (Prevent Scar Tissue)
 Benzocaine or Lidocaine = Anesthetic
 o-Aminoazutulene = Dye (i.e hoof black polish)
 Octyl Methoxycinnamate = Sunscreen (Known to Possibly Block Thermography)
 Methyl Salicylate = Counterirritant

